



PUBLIC NOTICE

FEDERAL COMMUNICATIONS COMMISSION

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(corrected December 10, 1996)

(modified December 17, 1996)

AUCTION OF INTERACTIVE VIDEO AND DATA SERVICE (IVDS)

Auction Notice and Filing Requirements for 981 IVDS Licenses Scheduled for February 18, 1997

**Report No. AUC-96-13-A, as corrected by Report No. AUC-96-13-B,
and as modified by Report No. AUC-96-13-C (Auction No. 13)**

1. INTRODUCTION

Licenses to Be Auctioned: 981 licenses to provide Interactive Video and Data Service ("IVDS"). The auction will consist of two licenses (Frequency Blocks "A" and "B") in each of the 428 Rural Service Areas ("RSA") in the United States, plus 125 Metropolitan Statistical Area ("MSA") licenses where winning bidders from a previous auction have been found to be in default.¹ Each license authorizes service on 500 kilohertz of spectrum (218.0-218.5 or 218.5-219.0 MHz) in each service area:

Frequency Block A: 218.0 - 218.5 MHz

Frequency Block B: 218.5 - 219.0 MHz

A list of licenses subject to auction is provided in Attachment A.

Auction Date: The auction will commence on Tuesday, February 18, 1997. The precise schedule for bidding will be announced by public notice at least one week before the start of the auction. Unless otherwise announced, bidding will be conducted on each business day until bidding has stopped on all licenses.

¹ MSAs and RSAs correspond with cellular radio service areas.

Auction Number: This is the thirteenth spectrum auction the FCC has conducted, and will be referred to as Auction No. 13.

Bidding Methodology: Simultaneous multiple round bidding. Bidding will be permitted only from remote locations, either electronically (by computer) or telephonically.

Pre-Auction Deadlines:

- Short-Form Application (FCC Form 175) . Tuesday, January 21, 1997, 5:30 p.m. EST
- Upfront Payments:
 - Wire Transfer Monday, February 3, 1997, 6:00 p.m. EST
 - Cashier's Check Monday, February 3, 1997, 11:59 p.m. EST
- Orders for Remote Bidding Software Tuesday, February 4, 1997, 5:30 p.m. EST
- Mock Auction Wednesday and Thursday, February 12-13, 1997

Telephone Contacts:

- FCC Wireless Consumer Assistance Branch 800-322-1117
(Bidder Information Packages and General Auction Information)
- FCC Technical Support Hotline 202-414-1250

List of Attachments:

- Attachment A: List of Licenses Offered
- Attachment B: Electronic Filing of FCC Form 175
- Attachment C: Guidelines for Completing FCC Form 175 and Exhibits
- Attachment D: Summary Listing of Documents from the Commission and
the Wireless Telecommunications Bureau Addressing Application
of the Anti-Collusion Rules

Bidder Information Package: More complete details about this auction will be contained in a Bidder Information Package. The FCC will provide one copy free of charge. Additional copies may be ordered at a cost of \$16.00 each, including postage, payable by Visa or Master Card, or by check payable to "Federal Communications Commission" or "FCC." To place an order, please contact the FCC Wireless Consumer Assistance Branch at 800-322-1117. Bidders who do not receive their Packages within two weeks of ordering should contact the Branch.

Participation: Those wishing to participate in the auction must submit a short-form application on the FCC Form 175. The FCC Form 175 must be completed and filed in accordance with the Commission's rules and the instructions provided in the Bidder Information Package, and **must be received at the Commission's Gettysburg office no later than 5:30 p.m. EST on Tuesday, January 21, 1997.**

Applicants are strongly encouraged to file their FCC Form 175 electronically, although manual filing (via hard copy) will be permitted. Electronic filing enables applicants to receive interactive feedback while completing the application, and immediate acknowledgment that the FCC Form 175

has been submitted for filing. In addition, only those applicants who file applications electronically will have the option of bidding either electronically or telephonically; **applicants who file their applications manually will be permitted to bid only telephonically**

Applicants whose FCC Form 175s are accepted will be required to submit an upfront payment and an FCC Remittance Advice (FCC Form 159). The upfront payment must be made in U.S. dollars by wire transfer or cashier's check. Payments submitted by **wire transfer** must be received at Mellon Bank in Pittsburgh, Pennsylvania, no later than **Monday, February 3, 1997 at 6:00 p.m. EST**. Payments made by **cashier's check** must be received at Mellon Bank in Pittsburgh no later than **Monday, February 3, 1997, at 11:59 p.m. EST**. **NOTE: No other form of payment will be accepted.**

Prohibition of Collusion: To ensure competitiveness of the auction process, the FCC's rules prohibit applicants for the same MSA and RSA areas from communicating with each other during the auction about bids, bidding strategies or settlements. This prohibition begins with the filing of short-form applications, and ends when winning bidders submit down payments. The prohibition does not apply where applicants enter into a bidding agreement before filing their short-form applications, and disclose the existence of the agreement in the short-form application. *See* 47 C.F.R. § 1.2105(c). *See also* the summary of documents from the Commission and the Wireless Telecommunications Bureau addressing application of the anti-collusion rules in Attachment D to this Public Notice.

Relevant Authority: Prospective bidders should familiarize themselves thoroughly with the FCC's rules relating to the Interactive Video and Data Service, contained in Title 47, Part 95, Subpart F of the Code of Federal Regulations ("C.F.R."), and relating to application and auction procedures, contained in Title 47, Part 1, Subpart Q.

Prospective bidders should also be thoroughly familiar with the procedures, terms and conditions (collectively, "Terms") contained in the *Second Report and Order* in PP Docket No. 93-253, 9 FCC Rcd 2348 (1994), 59 Fed. Reg. 22980 (May 4, 1994), and associated *Erratum* (released May 12, 1994); the *Second Memorandum Opinion and Order* in PP Docket No. 93-253, 9 FCC Rcd 7245 (1994), 59 Fed. Reg. 44272 (Aug. 26, 1994), and associated *Erratum* (released Oct. 19, 1994); the *Fourth Report and Order* in PP Docket No. 93-253, 9 FCC Rcd 2330 (1994), 59 Fed. Reg. 24947 (May 18, 1994); the *Sixth Memorandum Opinion and Order and Further Notice of Proposed Rule Making* in PP Docket No. 93-253, FCC 96-330 (released Sept. 10, 1996), 61 Fed. Reg. 49066 (Sept. 18, 1996) and 61 Fed. Reg. 49103 (Sept. 18, 1996); and the *Tenth Report and Order* in PP Docket No. 93-253, FCC 96-447 (released Nov. 21, 1996), 61 Fed. Reg. 60198 (Nov. 27, 1996) (collectively referred to as "the Relevant Orders").

The Terms contained in the FCC's rules, the Relevant Orders, this Public Notice, and in the Bidder Information Package are not negotiable. Prospective bidders should review these auction documents thoroughly prior to the auction to make certain that they understand all of the provisions and are willing to be bound by all of the Terms before participating in the auction.

Potential bidders should also be aware that petitions for reconsideration of the FCC's actions in the *Tenth Report and Order* may be filed, and that those of the Terms adopted therein are therefore subject to change upon reconsideration or appeal. Furthermore, potential bidders should be aware that petitions for reconsideration and petitions for rulemaking are currently on file with the Commission with regard to issues such as the provision of mobile service by IVDS licensees and the length of IVDS license terms. Accordingly, bidders are advised to keep current on any developments that may affect the FCC's rules or Terms.

The Commission may amend or supplement the information contained in this Public Notice and in the Bidder Information Package at any time. The FCC will issue public notices to convey new or supplemental information to bidders. It is the responsibility of all prospective bidders to remain current with all FCC rules and with all public notices pertaining to this auction. Copies of FCC documents, including public notices, may be obtained for a fee by calling the Commission's copy contractor, International Transcription Service, Inc., at 202-857-3800. Additionally, many documents can be retrieved from the FCC Internet node via anonymous ftp@ftp.fcc.gov or the FCC World Wide Web site at <http://www.fcc.gov>. Bidders should also note that a separate IVDS Web page is available at the FCC Internet node.

Bidder Alerts: All applicants must certify under penalty of perjury on their FCC Form 175 applications that they are legally, technically, financially and otherwise qualified to hold a license. Prospective bidders are reminded that submission of a false certification to the Commission is a serious matter that may result in severe penalties, including monetary forfeitures, license revocations, exclusion from participation in future auctions, and/or criminal prosecution.

As is the case with many business investment opportunities, some unscrupulous entrepreneurs may attempt to use the IVDS auction to deceive and defraud unsuspecting investors. Common warning signals of fraud include the following:

- ☞ The first contact is a "cold call" from a telemarketer, or is made in response to an inquiry prompted by a radio or television infomercial.
- ☞ The offering materials used to invest in the venture appear to be targeted at IRA funds, for example by including all documents and papers needed for the transfer of funds maintained in IRA accounts.
- ☞ The amount of the minimum investment is less than \$25,000.
- ☞ The sales representative makes verbal representations that: (a) the IRS, FTC, SEC, FCC, or other government agency has approved the investment; (b) the investment is not subject to state or federal securities laws; or (c) the investment will yield unrealistically high short-term profits. In addition, the offering materials often include copies of actual FCC releases, or quotes from FCC personnel, giving the appearance of FCC knowledge or approval of the solicitation.

The Commission does not approve any individual investment proposal, nor does it provide a warranty with respect to any license being auctioned. Potential applicants and investors are reminded that winning an IVDS license in this auction is not a guarantee of success in the marketplace.

Information about deceptive telemarketing investment schemes is available from the Federal Trade Commission (FTC) at 202-326-2222 and from the Securities and Exchange Commission (SEC) at 202-942-7040. Complaints about specific deceptive telemarketing investment schemes should be directed to the FTC, the SEC, or the National Fraud Information Center at 800-876-7060. Consumers who have concerns about specific IVDS investment proposals may also call the FCC Wireless Consumer Assistance Branch at 800-322-1117.

2. BIDDER ELIGIBILITY AND SMALL BUSINESS PROVISIONS

A. General Eligibility Criteria

As described in Part 1 above, this auction offers a total of 981 IVDS licenses, with two licenses available in each of the 428 RSAs (Frequency Blocks "A" or "B"), and 125 defaulted MSA licenses available for reauction. Each license authorizes service on 500 kilohertz of spectrum (218.0-218.5 MHz (Frequency Block "A") or 218.5-219.0 MHz (Frequency Block "B")) in each service area.

Section 95.813 of the Commission's Rules sets out eligibility requirements for IVDS licensees. Under Section 95.813(b)(1), no entity is eligible to hold an IVDS system license if it already holds, or has an interest in, an IVDS system license for the same service area. Prospective bidders should be aware that the Commission has recently concluded that, in the limited instance where IVDS licensees wish to participate in a subsequent auction in order to "switch" licenses in a service area, the prospective bidder may file, as an attachment to its pre-auction short-form application, a request for waiver of the common ownership rule for this limited purpose. If the prospective bidder is granted the waiver and ultimately wins the second license, it must divest itself of the first license within ninety (90) days of the grant of the second license. *See Sixth Memorandum Opinion and Order and Further Notice of Proposed Rulemaking*, FCC 96-330, ¶ 52 (released September 10, 1996).

B. Special Financial Provisions for Qualifying Small Businesses

Qualifying small business applicants are eligible for two special financial provisions: bidding credits and installment payments.

(1) Definitions of Small Businesses

The Commission revised the small business definitions for the IVDS auctions in the ***Tenth Report and Order*** and added a second tier of small businesses, referred to as "very small businesses."

- o A "small business" is defined as an entity with average gross revenues that are not more than \$15 million for the preceding three years.
- o A "very small business" is defined as an entity with average gross revenues that are not more than \$3 million for the preceding three years.

Gross revenues includes all income received by an entity, whether earned or passive, before any deductions are made for costs of doing business (e.g., cost of goods sold), as evidenced by audited financial statements for the relevant number of most recently completed calendar years, or, if audited financial statements were not prepared on a calendar-year basis, for the most recently completed fiscal years preceding the filing of the applicant's short-form application (FCC Form 175). If an entity was not in existence for all or part of the relevant period, gross revenues shall be evidenced by the audited financial statements of the entity's predecessor-in-interest or, if there is no identifiable predecessor-in-interest, unaudited financial statements certified by the applicant as accurate. When an applicant does not otherwise use audited financial statements, its gross revenues may be certified by its chief financial officer or its equivalent.

In determining whether an entity qualifies as a small business at either threshold, gross revenues of all "controlling" principals will be attributed to the prospective small business applicant, as well as the gross revenues of affiliates of the applicant. However, personal net worth is not included in the determination of eligibility for bidding as a small business. The term "control" includes both *de jure* and *de facto* control of the applicant. Typically, *de jure* control is evidenced by ownership of 50.1 percent of an entity's voting stock. *De facto* control is determined on a case-by-case basis. An entity must demonstrate at least the following indicia of control to establish that it retains *de facto* control of the applicant: (1) the entity constitutes or appoints more than 50 percent of the board of directors or partnership management committee; (2) the entity has authority to appoint, promote, demote and fire senior executives that control the day-to-day activities of the licensees; and (3) the entity plays an integral role in all major management decisions. The definition of "affiliate" is set forth at Section 1.2110(b)(4) of the Commission's Rules.

(2) Bidding Credits

The size of an IVDS bidding credit depends on the annual gross revenues of the bidder and its affiliates, as averaged over the preceding three years:

- A bidder with average gross annual revenues of not more than \$15 million (a "small business") receives a 10-percent discount on its winning bid for IVDS licenses.

- A bidder with average gross annual revenues of not more than \$3 million (a "very small business") receives a 15-percent discount on its winning bid for IVDS licenses.

These bidding credits are not cumulative.

(3) Installment Payments

Upon issuance of the IVDS licenses, a qualifying small or very small business may elect to pay the balance of its net winning bids (actual bids less the applicable bidding credits) in quarterly installments over the five-year term of the license, with interest charges to be fixed at the time of licensing at a rate equal to the rate for five-year U.S. Treasury obligations. While the Commission will endeavor to issue all licenses at the same time, bidders should note that license issue dates and corresponding interest rates may vary between the licenses. Payments shall include interest only for the first two years and payments of interest and principal amortized over the remaining three years of the license term. A license issued to an eligible small business that elects installment payments shall be conditioned on the full and timely performance of the license holder's quarterly payments.

(4) Application Showing

Applicants should note that they will be required to file supporting documentation to establish that they satisfy the eligibility requirements to bid as a small business or very small business in this auction, and are subject to audits to confirm their eligibility. *See* 47 C.F.R. § 95.816(d)(3).

(5) Unjust Enrichment

IVDS winning bidders should note that unjust enrichment provisions apply to winning bidders who use bidding credits or installment financing and subsequently assign or transfer control of their IVDS licenses to an entity that does not qualify for the special financial provisions. *See* 47 C.F.R. § 1.2111(c)–(d).

3. PRE-AUCTION PROCEDURES

A. Short-Form Application (FCC Form 175)– Due Tuesday, January 21, 1997

In order to be eligible to bid in this auction, applicants must first submit an FCC Form 175 application to the Commission. **This application must be received at the Commission's Gettysburg office by 5:30 p.m. EST on Tuesday, January 21, 1997. Late applications will not be accepted.**

There is no application fee required when filing a FCC Form 175. However, to be eligible to bid, an applicant will have to submit an upfront payment. See Part 3.C below.

(1) Filing Options

Applicants are encouraged to file their applications electronically in order to take advantage of the greater efficiencies and convenience of electronic filing. For example, electronic filing enables the applicant to: (a) receive interactive feedback while completing the application, and (b) receive immediate acknowledgment that the FCC Form 175 has been submitted for filing. In addition, only those applicants that file electronically will have the option of bidding electronically. However, manual filing (via hard copy) is also permitted. **Please note that manual filers will not be permitted to bid electronically and must bid telephonically, unless the FCC Form 175 is amended electronically.** The following is a brief description of each filing method.

(a) Electronic filing

Applicants wishing to file electronically may generally do so on a 24-hour basis beginning at about the same time as release of the Bidder Information Package. All the information required to file the FCC Form 175 electronically (*i.e.*, software, help files and configuration samples) will be available over both the Internet and the FCC's Bulletin Board System ("BBS"). Information about downloading, installing, and running the FCC Form 175 application software is included in Attachment B to this Public Notice.

(b) Manual filing

Auction applicants will be permitted to file their FCC Form 175 applications in hard copy, with microfiche or 3.5-inch diskette attachments where any manually filed FCC Form 175 and 175-S exceeds five pages in length. **Manual filers must verify that they are using the FCC Form 175 marked "October 1995" in the lower right corner. Earlier versions of the form will not be accepted for filing.** Copies of FCC Forms 175 and 175-S will be included in the Bidder Information Package, or can be obtained by calling 202-418-FORM.

Manual applications may be submitted by hand delivery (including private "overnight" courier), or by U.S. mail (certified mail with return receipt recommended). They must be addressed to:

FCC Form 175 Filing, Auction No. 13
Federal Communications Commission
Office of Operations
1270 Fairfield Road
Gettysburg, PA 17325-7245

NOTE: Manual applications delivered to any other location will not be accepted.

(2) Completion of the FCC Form 175

Applicants should carefully review 47 C.F.R. §§ 1.2105 and 95.816, and must complete all items on the FCC Form 175 (and 175-S, if applicable). Instructions for completing the FCC Form 175 will be contained in the Bidder Information Package and are in Attachment C to this Public Notice.

Failure to sign a manually filed FCC Form 175 or failure to submit the required ownership information (for both electronic and manual filers) will result in dismissal of the application and loss of the ability to participate in the auction. Only original signatures will be accepted for manually filed applications.

B. Application Processing and Minor Corrections

After the deadline for filing the FCC Form 175 applications has passed, the FCC will process all timely applications to determine which are acceptable for filing. Then it will issue a public notice identifying: (1) those applications accepted for filing (including FCC account numbers and the licenses for which they applied); (2) those applications rejected; and (3) those applications which have minor defects that may be corrected, and the deadline for filing such corrected applications.

As described more fully in our rules, **after the January 21, 1997 short-form filing deadline, applicants may make only minor corrections to their FCC Form 175 applications. Applicants will not be permitted to make major modifications to their applications**(*e.g.*, change their license selections, change the certifying official, change control of the applicant, or sign the application). *See* 47 C.F.R. § 95.816(c)(2)(i).

C. Upfront Payments – Due Monday, February 3, 1997

In order to be eligible to bid in the auction, applicants must submit an upfront payment accompanied by an FCC Remittance Advice (FCC Form 159). All upfront payments must be received at Mellon Bank in Pittsburgh, Pennsylvania, by Monday, February 3, 1997, at the time specified below (which varies based on method of payment).

Please note that:

- **All payments must be made in U.S. dollars.**
- **All payments must be made by wire transfer (preferred method) or cashier's check, and payable to the "Federal Communications Commission" or "FCC." No personal checks, credit card payments, or other form of payment will be accepted.**

■ **Upfront payments for Auction 13 go to a different lockbox number from the one used in previous FCC auctions, and different from the lockbox number to be used for post-auction payments.**

■ **Failure to deliver the upfront payment by the deadline specified below will result in dismissal of the application and disqualification from participation in the auction.**

(1) Wire Transfers

The FCC strongly encourages applicants to make their upfront payments by wire transfer, which experience has shown provides the greatest reliability and efficiency. Wire transfer payments must be received by **6:00 p.m. EST on Monday, February 3, 1997**. To avoid untimely payments, applicants should discuss arrangements (including bank closing schedules) with their banker several days before they plan to make the wire transfer, and allow sufficient time for the transfer to be initiated and completed before the deadline. Applicants will need the following information:

ABA Routing Number: 043000261

Receiving Bank: Mellon Pittsburgh

BNF: FCC/AC--910-0171

OBI Field: (Skip one space between each information item)

"AUCTIONPAY"

FCC ACCOUNT NO. (same as FCC Form 159, Block 1)

PAYMENT TYPE CODE (same as FCC Form 159, Block 14A: "AWIU")

FCC CODE (same as FCC Form 159, Block 17A: "13")

PAYOR NAME (same as FCC Form 159, Block 3)

LOCKBOX NO. 358430

NOTE: The BNF and Lockbox No. are specific to the upfront payments for IVDS; do not use BNF or Lockbox numbers from previous auctions.

Applicants making upfront payments by wire transfer must fax a completed FCC Form 159 to Mellon Bank at 412-236-5702 at least one hour before placing the order for the wire transfer (but on the same business day). On the cover sheet of the fax, write "Wire Transfer - Auction Payment for Auction Event #13".

(2) Cashier's Checks

Cashier's checks must be drawn on financial institutions whose deposits are insured by the Federal Deposit Insurance Corporation, and must be received by **11:59 p.m. EST on Monday, February 3, 1997**. Each bidder should submit a single check and FCC Form 159 covering its entire upfront payment. But if payments for more than one bidder are sent together, each bidder's check and the associated FCC Form 159 must be in a separate inside envelope.

For delivery by U.S. Postal Service, send cashier's check(s) and accompanying FCC Form 159(s) to:

Mellon Bank
Attn: Upfront Payments Auction # 13
P.O. Box 358430
Pittsburgh, PA 15251-5430

For delivery in person or by courier or messenger service, send cashier's check(s) and accompanying FCC Form 159(s) to:

Mellon Bank
Attn: Wholesale Lockbox Shift Supervisor
27th Floor (153-2713)
3 Mellon Bank Center
525 William Penn Way
Pittsburgh, PA 15259-0001

(NOTE: Please indicate on the inside envelope "Lockbox No. 358430")

(3) FCC Form 159

Each upfront payment must be accompanied by a completed FCC Remittance Advice (FCC Form 159). Proper completion of FCC Form 159 is critical to ensuring correct credit of upfront payments. Instructions for completing FCC Form 159 will be contained in the Bidder Information Package.

(4) Amount of Upfront Payment

The amount of the upfront payment required to participate in Auction No. 13 is \$9,000 per MSA license and \$2,500 per RSA license. The upfront payment associated with each license offered is listed in Attachment A to this Public Notice.

A bidder should calculate its total upfront payment on the basis of the largest combination of bidding units on which the bidder anticipates being active in any single round of bidding. The number of bidding units associated with any particular license equals the amount of the upfront payment for that license, as set forth in Attachment A. The combination of bidding units on which a bidder is active in a round equals the sum of the bidding units associated with the licenses on which the bidder has submitted a bid, or on which the bidder is the standing high bidder.

The upfront payment submitted by each applicant is not attributed to specific licenses but instead will define the largest combination of bidding units on which the applicant will be permitted to bid in any round of bidding. Thus, if an applicant submits a \$16,500 total upfront payment, the

applicant could be active in any single round on three RSAs and one MSA, or on any combination of licenses for which the sum of associated bidding units does not exceed 16,500.

NOTE: An applicant may, on its FCC Form 175, apply for every license being offered, but its actual bidding in any round will be limited by the bidding units reflected in its upfront payment. As explained in Parts 4.A(2) and 4.A(4) below, bidders will be required to remain active in each round of the auction on a specified percentage of bidding units reflected in their upfront payments in order to retain their current eligibility.

(5) Refunds

Because experience with prior auctions has shown that, in most cases, wire transfers provide quicker and more efficient refunds than paper checks, the FCC currently intends to use wire transfers for all Auction 13 refunds. To avoid delays in processing refunds, applicants should include wire transfer instructions with any refund request they file; they may also provide this information in advance by faxing it to the FCC Billings and Collections Branch, ATTN: William Koch, at 202-418-2843. (Applicants should also note that implementation of the Debt Collection Improvement Act of 1996 requires the FCC to obtain a Taxpayer Identification Number before it can disburse refunds.) Eligibility for refunds is discussed later in Part 5.D.

D. Auction Registration

No later than five business days before the auction, the FCC will issue a public notice announcing all qualified bidders for the auction. Qualified bidders are those applicants whose FCC Form 175 applications have been accepted for filing and who have timely submitted upfront payments sufficient to make them eligible to bid on at least one of the licenses for which they applied.

All qualified bidders are automatically registered for the auction. Registration materials will be distributed prior to the auction by two separate overnight mailings, each containing part of the confidential identification codes required to place bids, sent only to the contact person and applicant address listed in the FCC Form 175.

Applicants who do not receive both registration mailings will not be able to submit bids. Therefore, any qualified applicant who has not received both mailings within **three business days** after the release of the qualified bidders public notice should contact the FCC Wireless Consumer Assistance Branch at 800-322-1117. Receipt of both registration mailings is critical to participating in the auction and each applicant is responsible for ensuring it has received all of the registration material.

Qualified bidders must be aware that lost login codes, passwords or bidder identification numbers can only be replaced by appearing in person at the FCC Auction Headquarters located at 2 Massachusetts Avenue, NE, Washington, DC 20002. Only an authorized representative or certifying official, as designated on an applicant's FCC Form 175, may appear

in person with two forms of identification (one of which must be a photo identification) in order to receive replacement codes.

E. Remote Electronic Bidding Software

Qualified bidders who file or amend the FCC Form 175 electronically may purchase remote electronic bidding software for \$175.00, including shipping and handling. (Auction software is tailored to a specific auction, so software from prior auctions will not work for Auction 13.) Information about this software and an order form will be included in the Bidder Information Package. Bidders who order remote bidding software by the February 4, 1997 deadline will receive it with the registration mailings.

F. Mock Auction

All applicants whose FCC Form 175s have been accepted for filing will be eligible to participate in a mock auction on **February 12-13, 1997**. The mock auction will enable applicants to become familiar with the electronic software prior to the auction. Free demonstration software will be available for use in the mock auction. Participation is strongly recommended. Details will be announced by public notice.

4. AUCTION EVENT

A. Auction Structure

(1) Simultaneous Multiple Round Auction

The two licenses (Frequency Blocks "A" and "B") in each of the 428 RSAs, and the 125 defaulted MSA licenses will be awarded through a single, simultaneous multiple round auction. Unless otherwise announced, bids will be accepted on all licenses in each round of the auction.

(2) Activity Rule

As explained in Part 3.C(4) above, an applicant's upfront payment determines its maximum bidding eligibility in any single round of bidding.

In order to ensure that the auction closes within a reasonable period of time, an activity rule requires bidders to bid actively throughout the auction, rather than waiting until the end before

participating. A bidder that does not satisfy the activity rule either loses bidding eligibility or uses an activity rule waiver, as explained in Part 4.A(3) below.

A bidder is considered "active" on a license in the current round if it either is the high bidder at the end of the previous round's bid withdrawal period, or submits an acceptable bid (see Part 4.B(2) below) in the current round. A bidder's activity level in a round is the sum of the bidding units associated with licenses on which the bidder is active. The minimum required activity level is expressed as a percentage of the bidder's maximum bidding eligibility and increases as the auction progresses, as described in Part 4.A(4) below.

(3) Activity Rule Waivers

Each bidder will be provided five activity rule waivers that may be used in any round during the course of the auction. Use of an activity rule waiver preserves the bidder's current bidding eligibility despite the bidder's activity in the current round being below the required minimum level. An activity rule waiver applies to an entire round of bidding and not to a particular license.

The FCC auction system assumes that bidders with insufficient activity would prefer to use an activity rule waiver (if available) rather than lose bidding eligibility. Therefore, the system will automatically apply a waiver in any round where a bidder's activity level is below the minimum required unless: (1) there are no activity rule waivers available; or (2) the bidder overrides the automatic election as discussed below and more fully explained in the Bidder Information Package.

A bidder with insufficient activity who wants to reduce its bidding eligibility rather than use an activity rule waiver must affirmatively override the automatic waiver mechanism during the bid submission period. In this case, the bidder's eligibility is permanently reduced as described in Part 4.A(4) below, and it will not be permitted to later regain its lost bidding eligibility.

Finally, a bidder may proactively use an activity rule waiver in a way that is not necessarily related to the bidder's activity level. If a bidder submits a **proactive** waiver during a bid submission period in which no bids are submitted, **the auction will remain open**. (Note that an **automatic** waiver invoked in a round in which there are no new valid bids will not keep the auction open.) Thus in the later rounds of the auction, if a bidder does not intend to bid but wants to ensure that the auction does not close, it should enter a proactive waiver in place of a bid.

(4) Auction Stages

The auction is composed of three stages, which are each defined by an increasing activity rule:

Stage One: In each round of the first stage of the auction, a bidder desiring to maintain its current eligibility is required to be active on licenses encompassing at least 50 percent of its current bidding eligibility. Failure to maintain the requisite activity level will result in a reduction in the bidder's bidding eligibility in the next round of bidding (unless an activity rule waiver is used). During

Stage One, reduced eligibility for the next round will be calculated by multiplying the current round activity by two (2).

Stage Two: In each round of the second stage, a bidder desiring to maintain its current eligibility is required to be active on 80 percent of its current bidding eligibility. During Stage Two, reduced eligibility for the next round will be calculated by multiplying the current round activity by five-fourths (5/4).

Stage Three: In each round of the third stage, a bidder desiring to maintain its current eligibility is required to be active on 98 percent of its current bidding eligibility. In this final stage, reduced eligibility for the next round will be calculated by multiplying the current round activity by fifty-fortyninths (50/49).

CAUTION: Since activity requirements increase in each auction stage, bidders must carefully check their current activity during the bid submission period of the first round following a stage transition. This is especially critical for bidders who have standing high bids and do not plan to submit new bids. In past auctions, some bidders have inadvertently lost bidding eligibility or used an activity rule waiver because they did not reverify their activity status at stage transitions. Bidders may check their activity against the required minimum activity level either by using the remote bidding software's bid submission module or by calling a telephone bid assistant.

(5) Stage Transitions

The auction will start in Stage One. Under our general guidelines it will advance to the next stage (*i.e.*, from Stage One to Stage Two, then from Stage Two to Stage Three) when, in each of three consecutive rounds of bidding, the high bid has increased on ten percent or less of the spectrum being auctioned (as measured in bidding units). However, the FCC retains the discretion to accelerate the auction by announcing that the next stage will begin in the next bidding round.

(6) Auction Stopping Rules

Barring extraordinary circumstances, bidding will remain open on all licenses until bidding stops on every license. Thus, the auction will close for all licenses when one round passes during which no bidder submits a new acceptable bid on any license or applies a proactive waiver.

The FCC retains the discretion, however, to keep an auction open even if no new acceptable bids and no proactive waivers are submitted. In this event, the effect will be the same as if a bidder had submitted a proactive waiver. Thus, the activity rule will apply as usual, and a bidder with insufficient activity will either lose bidding eligibility or use an activity rule waiver (if it has any left).

Further, in its discretion, the FCC reserves the right to declare that the auction will end after a specified number of additional rounds ("special stopping rule"). If the FCC invokes this special stopping rule, it will accept bids in the final round(s) only for licenses on which the high bid increased in at least one of the preceding three rounds. The FCC intends to exercise this option only in extreme circumstances, such as where the auction is proceeding very slowly, where there is minimal overall bidding activity, or where it appears likely that the auction will not close within a reasonable period of time. Before exercising this option, the FCC will probably first attempt to increase the pace of the auction by, for example, moving the auction into the next stage (where bidders would be required to maintain a higher level of bidding activity), increasing the number of bidding rounds per day, and/or increasing the amount of the minimum bid increments for the limited number of licenses where there is still a high level of bidding activity.

(7) Auction Delay, Suspension or Cancellation

By public notice or by announcement during the auction, the FCC may delay, suspend or cancel the auction in the event of natural disaster, technical obstacle, evidence of an auction security breach, unlawful bidding activity, administrative or weather necessity, or for any other reason that affects the fair and competitive conduct of competitive bidding. In such cases, the FCC, in its sole discretion, may elect to: resume the auction starting from the beginning of the current round; resume the auction starting from some previous round; or cancel the auction in its entirety. Network interruption may cause the FCC to delay or suspend the auction.

B. Bidding Procedures

(1) Round Structure

Generally, there will be one bidding round per day during the early portion of the auction. Each bidding round contains the following performance and review periods:

- Bid submission period
- Bid submission round results
- Bid withdrawal period
- Bid withdrawal round results

The initial bidding schedule will be announced by public notice at least one week before the start of the auction, and will be included in the registration mailings. The FCC has discretion to change the bidding schedule in order to foster an auction pace that reasonably balances speed with bidders' need to study round results and adjust their bidding strategies. The FCC may increase or decrease the amount of time for the performance and review periods, or the number of rounds per day, depending upon the bidding activity level and other factors.

(2) Minimum Acceptable Bids

There will be no minimum opening bid and no minimum bid increment for a license until the license has received an initial bid. Once there is a standing high bid on a license, the minimum bid increment for that license will be set initially at the greater of ten percent of the previous high bid or the amount of the upfront payment for the license. The Commission retains the discretion to vary the minimum bid increments in each round of the auction for individual licenses or groups of licenses by announcement prior to each round.

(3) High Bids

Each bid will be date-and time-stamped when it is entered into the computer system. In the event of tie bids, the Commission will identify the high bidder on the basis of the order in which bids are received by the Commission, starting with the earliest bid.

(4) Bid Submission

Each bidder may submit bids only once in each round for as many licenses as it is eligible. Eligibility in the first round of the auction is determined by: (a) the licenses applied for on FCC Form 175 and (b) the upfront payment amount deposited. The bid submission screens will be tailored for each bidder to include only those licenses for which the bidder applied on its FCC Form 175.

Bidders who file the FCC Form 175 electronically (or amend electronically by the minor correction deadline) and purchase remote electronic bidding software may place their bids and withdrawals either electronically or telephonically, as they wish or circumstances warrant. Each electronic bidder will be required to login to the FCC auction system during the bid submission period using the FCC account number, bidder identification number, and confidential security codes provided in the registration materials. Bidders can download and print bid confirmations after they submit their bids electronically.

To place a bid telephonically, a bidder must call the FCC Bidding Line during the bid submission period. This telephone number will be provided only to qualified bidders in the registration materials. The bid operator will request the bidder's FCC account number, bidder identification number, confidential security codes, and name of the authorized bidder. The FCC will fax a hard copy bid confirmation to those who bid by telephone.

(5) Bid Withdrawal

A high bidder that wants to withdraw one or more of its standing high bids during the course of the auction may do so during any bid withdrawal period, subject to the bid withdrawal payment specified in Section 1.2104(g) of the Commission's Rules. The procedure for withdrawing a high bid and receiving a withdrawal confirmation is essentially the same as the bid submission procedure described in Part 4.B(4) above. To prevent strategic delays to the close of the auction, the FCC retains the discretion to limit the number of times that a bidder may re-bid on a license from which it has withdrawn a high bid.

If a high bid is withdrawn, the license will be offered in the next round at the second highest bid price, which may be less than, or equal to in the case of tie bids, the amount of the withdrawn bid, without any increment. The FCC will serve as a "place holder" on the license until a new acceptable bid is submitted on that license.

(6) Round Results

Upon the conclusion of each bid submission period, the FCC will compile reports of all bids placed, current high bids, and bidder eligibility status (bidding eligibility and activity rule waivers), and post the reports for public access. The process of compilation and verification is not instantaneous, but the reports will be available before the start of the bid withdrawal period.

Following each bid withdrawal period, the FCC will compile additional reports reflecting any high bids withdrawn, post-withdrawal high bids, and minimum accepted bids for the next bid submission period. Again, bidders should allow some time for compilation and verification.

Reports reflecting bidders' identities and bidder identification numbers will be available before and during the auction. Thus, bidders will know in advance of the auction the identities of the bidders against whom they are bidding.

(7) Auction Announcements

The FCC will use auction announcements to announce items such as schedule changes and stage transitions. All FCC auction announcements will be available on the FCC remote electronic bidding system, as well as the Internet and the FCC Bulletin Board System.

(8) Other matters

As noted in Part 3.B above, after the short-form filing deadline applicants may make only minor changes to their FCC Form 175 applications. For example, permissible minor changes include deletion and addition of authorized bidders (to a maximum of three) and revision of exhibits. Electronic filers should make these changes on-line, and submit a letter to Kathleen O'Brien Ham, Chief, Auctions Division (and mail a carbon copy to Ruby Hough, Auctions Division), briefly summarizing the changes. Manual filers must send a hard-copy amendment to the address given in Part 3.A(1)(b) above, plus fax a copy to 202-418-2082. Questions about other changes should be directed to the FCC Auctions Division at 202-418-0660.

5. POST-AUCTION PROCEDURES

A. Down Payments and Withdrawn Bid Payments

After bidding has ended, the FCC will issue a public notice declaring the auction closed ("auction closing notice"), identifying the winning bids and bidders for each license, and listing withdrawn bid payments due.

Within five business days after release of the auction closing notice, each winning bidder must submit sufficient funds to bring the total amount of money on deposit with the government (upfront payment less any withdrawal payments) to 20 percent, unless it is an eligible small or very small business who elected to pay using the installment payment plan, then it must submit sufficient funds to bring the total amount of money on deposit with the government (upfront payment less any withdrawal payments) to 10 percent of its net winning bids (actual bids less any applicable bidding credits). *See* 47 C.F.R. § 1.2107(b). In addition, by the same deadline all bidders must pay any withdrawn bid amounts due under Section 1.2104(g)(1) of the Commission's Rules, as discussed in Part 4.B(5) above. Upfront payments are applied first to satisfy any outstanding bid withdrawal payments before being applied toward down payments.

B. Long-Form Application (FCC Form 600)

Within ten business days after release of the auction closing notice, winning bidders must submit a properly completed FCC Form 600 application and required exhibits for **each IVDS license won** through the auction. Winning small business or very small business bidders must include an exhibit demonstrating their eligibility for the small business incentives. A copy of FCC Form 600 will be included in the Bidder Information Package. *See* 47 C.F.R. §§ 1.2107(c)–(d) and 95.816(c)(2)(ii). Further FCC Form 600 filing instructions will be provided to auction winners at the close of the auction.

The FCC Form 600 may be filed electronically. Alternatively, a hard copy plus required microfiche or 3.5" properly-formatted diskette copies must be sent to:

FCC Form 600 Filing, Auction No. 13
Federal Communications Commission
Office of Operations
1270 Fairfield Road
Gettysburg, PA 17325-7245

C. Application Processing and Grant; Final Payments and Installment Payments

Once a high bidder has submitted its down payment and filed an acceptable FCC Form 600 application, the FCC will release a public notice announcing acceptance of the long-form application. After the FCC reviews an applicant's FCC Form 600, it will determine whether there are any reasons why the license should not be granted. If there are none, the Commission will grant the license conditioned on timely payments for the license; otherwise it will take appropriate action.

Within five (5) business days after conditional license grant:

- Unless paying under an installment plan, winning bidders are required to pay the balance of their winning bids in a lump sum. 47 C.F.R. § 95.816(c)(5).
- Eligible winning bidders who elected to pay for their licenses under the installment payment plan must bring the total amount on deposit with the FCC up to 20 percent of their net winning bids. *See* 47 C.F.R. § 1.2110(e). These bidders will be required to execute a promissory note and security agreement for the balance due. The payments will be paid off in quarterly installments under the terms described in Part 2.B(3) above.

Winning bidders will receive further instructions and detailed payment information after the auction closes.

D. Refund of Remaining Upfront Payment Balance

All applicants who submitted upfront payments but were not winning bidders for any IVDS license may be entitled to a refund of their remaining upfront payment balance after the conclusion of the auction. No refund will be made unless there are excess funds on deposit from that applicant after any applicable bid withdrawal payments have been paid.

Bidders who drop out of the auction completely may be eligible for a refund of their upfront payments before the close of the auction. However, bidders who reduce their eligibility and remain in the auction are not eligible for partial refunds of upfront payments until the close of the auction. Qualified bidders who have exhausted all their activity rule waivers, have no remaining bidding eligibility, and have not withdrawn a high bid during the auction must submit a written refund request, along with a Taxpayer Identification Number ("TIN") and a copy of their bidding eligibility screen print, to:

Federal Communications Commission
Attn: William Koch
1919 M Street, N.W., Room 452
Washington, D.C. 20554

Once the request has been approved, a refund will be sent to the address provided on the FCC Form 159.

NOTE: Refund processing generally takes up to two weeks to complete. Bidders with questions about refunds should contact William Koch at 202-418-1995.

E. Default and Disqualification

Any high bidder that defaults or is disqualified after the close of the auction (*i.e.*, fails to remit the required down payment within the prescribed period of time, fails to submit a timely long-form application, fails to make full payment, fails to make an installment payment, or is otherwise disqualified) will be subject to the payments described in Sections 1.2104(g) and 1.2109 of the Commission's Rules. In the event that the amount of those payments cannot be determined (*i.e.* until the license has been reaucted), the FCC can require a "deposit" of at least three (3) percent of the defaulted bid amount. *See In Re C. H. PCS, Inc.*, BTA No. B347 Frequency Block C, *Order*, DA 96-1825 (released November 4, 1996). *See also* Wireless Telecommunications Bureau Will Strictly Enforce Default Payment Rules, *Public Notice*, DA 96-41 (April 4, 1996). Under certain circumstances the FCC can also reauct the license to existing or new applicants, or offer it to the other highest bidders (in descending order) at their final bids. *See* 47 C.F.R. § 1.2109(b)–(c). In addition, if a default or disqualification involves gross misconduct, misrepresentation or bad faith by an applicant, the FCC may declare the applicant and its principals ineligible to bid in future auctions, and may take any other action that it deems necessary, including institution of proceedings to revoke any existing licenses held by the applicant. *See* 47 C.F.R. § 1.2107(d).

F. Service and Construction Requirements

Construction requirements for IVDS licensees include making the service available to at least 30 percent of the population or land area within the service area within three years of the grant of an IVDS license, and fifty percent of the population or land area within five years of the grant of an IVDS license. *See* 47 C.F.R. § 95.833.

Subject to the initial construction requirements in Section 95.833 of the Commission's Rules as described above, each IVDS system licensee must make service available to at least fifty percent of the population or land area located within the service area. *See* 47 C.F.R. § 95.831.

- FCC -

News Media Contact: Kara Palamaras at (202) 418-0654

Wireless Telecommunications Bureau Contacts:

Ruby Hough, Howard Griboff, or Christina Eads Clearwater at (202) 418-0660

LIST OF LICENSES OFFERED

The following tables list the 981 Interactive Video and Data Service ("IVDS") licenses to be auctioned. The tables identify the following: the 125 MSA licenses where winning bidders from a previous auction have been found to be in default, plus two licenses (Frequency Blocks "A" and "B") in each of the 428 RSAs.

SUMMARY OF DEFAULTED IVDS MSA LICENSES TO BE AUCTIONED

Market No.	Metropolitan Statistical Area	License No.	Population	Upfront Payment
	MSA-Arkansas			
M165	Fort Smith	ZVM165A	219,181	\$9,000.00
	MSA-Arizona			
M026	Phoenix	ZVM026A	2,122,101	\$9,000.00
	MSA-California			
M018	San Diego	ZVM018A	2,498,016	\$9,000.00
M018	San Diego	ZVM018B	2,498,016	\$9,000.00
M027	San Jose	ZVM027A	1,497,577	\$9,000.00
M035	Sacramento	ZVM035A	1,355,107	\$9,000.00
M107	Stockton	ZVM107A	480,628	\$9,000.00
M124	Santa Barbara-Santa Maria-Lompoc	ZVM124A	369,608	\$9,000.00
M126	Salinas-Seaside-Monterey	ZVM126A	355,660	\$9,000.00
M142	Modesto	ZVM142A	370,522	\$9,000.00
	MSA-Colorado			
M019	Denver-Boulder	ZVM019A	1,851,389	\$9,000.00
	MSA-Connecticut			
M042	Bridgeport-Stanford-Norwalk-Danbury	ZVM042A	827,645	\$9,000.00
M049	New Haven-West Haven-Waterbury-Meriden	ZVM049A	804,219	\$9,000.00
M154	New London-Norwich	ZVM154A	254,957	\$9,000.00
	MSA-Delaware			
M069	Wilmington	ZVM069A	578,587	\$9,000.00
	MSA-Florida			
M012	Miami-Fort Lauderdale-Hollywood	ZVM012A	3,192,582	\$9,000.00
M022	Tampa-St. Petersburg	ZVM022A	1,966,844	\$9,000.00
M022	Tampa-St. Petersburg	ZVM022B	1,966,844	\$9,000.00
M051	Jacksonville	ZVM051A	925,213	\$9,000.00
M051	Jacksonville	ZVM051B	925,213	\$9,000.00
M060	Orlando	ZVM060B	1,072,748	\$9,000.00
M072	West Palm Beach-Boca Raton	ZVM072A	863,518	\$9,000.00
M072	West Palm Beach-Boca Raton	ZVM072B	863,518	\$9,000.00
M114	Lakeland-Winter Haven	ZVM114A	405,382	\$9,000.00
M114	Lakeland-Winter Haven	ZVM114B	405,382	\$9,000.00
M137	Melbourne-Titusville-Palm Bay	ZVM137B	398,978	\$9,000.00
M146	Daytona Beach	ZVM146B	370,712	\$9,000.00
M164	Fort Myers	ZVM164B	335,113	\$9,000.00
M167	Sarasota	ZVM167B	277,776	\$9,000.00
M168	Tallahassee	ZVM168A	247,800	\$9,000.00
M168	Tallahassee	ZVM168B	247,800	\$9,000.00
M192	Gainesville	ZVM192B	204,111	\$9,000.00
M208	Fort Pierce	ZVM208A	251,071	\$9,000.00
M211	Bradenton	ZVM211A	211,707	\$9,000.00
M211	Bradenton	ZVM211B	211,707	\$9,000.00
M245	Ocala	ZVM245A	194,833	\$9,000.00
M245	Ocala	ZVM245B	194,833	\$9,000.00
M283	Panama City	ZVM283B	126,994	\$9,000.00
	MSA-Georgia			
M017	Atlanta	ZVM017A	2,695,480	\$9,000.00
	MSA-Hawaii			
M050	Honolulu	ZVM050A	836,231	\$9,000.00
	MSA-Iowa			
M195	Cedar Rapids	ZVM195B	168,767	\$9,000.00
M201	Waterloo-Cedar Falls	ZVM201B	146,611	\$9,000.00
M253	Sioux City	ZVM253A	115,018	\$9,000.00
M296	Iowa City	ZVM296B	96,119	\$9,000.00

SUMMARY OF DEFAULTED IVDS MSA LICENSES TO BE AUCTIONED

Market No.	Metropolitan Statistical Area	License No.	Population	Upfront Payment
MSA-Illinois				
M103	Peoria	ZVM103A	339,172	\$9,000.00
M176	Springfield	ZVM176B	189,550	\$9,000.00
M305	Alton-Granite City	ZVM305B	20,539	\$9,000.00
MSA-Indiana				
M028	Indianapolis	ZVM028A	1,249,822	\$9,000.00
M054	Gary-Hammond-East Chicago	ZVM054B	604,526	\$9,000.00
M129	South Bend-Mishawaka	ZVM129B	289,234	\$9,000.00
M185	Terre Haute	ZVM185A	166,578	\$9,000.00
MSA-Louisiana				
M029	New Orleans	ZVM029B	1,156,383	\$9,000.00
M100	Shreveport	ZVM100A	376,330	\$9,000.00
M174	Lafayette	ZVM174A	208,740	\$9,000.00
M184	Houma-Thibodaux	ZVM184B	182,842	\$9,000.00
M197	Lake Charles	ZVM197A	168,134	\$9,000.00
M205	Alexandria	ZVM205B	149,082	\$9,000.00
M219	Monroe	ZVM219B	142,191	\$9,000.00
MSA-Maryland				
M014	Baltimore	ZVM014A	2,348,219	\$9,000.00
MSA-Michigan				
M005	Detroit/Ann Arbor	ZVM005A	4,531,636	\$9,000.00
M094	Saginaw-Bay City-Midland	ZVM094A	399,320	\$9,000.00
M132	Kalamazoo	ZVM132A	293,471	\$9,000.00
M181	Muskegon	ZVM181B	181,437	\$9,000.00
MSA-Minnesota				
M015	Minneapolis	ZVM015A	2,438,203	\$9,000.00
M198	St. Cloud	ZVM198B	190,921	\$9,000.00
MSA-Missouri				
M011	St. Louis	ZVM011A	2,423,560	\$9,000.00
M024	Kansas City	ZVM024A	1,447,336	\$9,000.00
M275	St. Joseph	ZVM275A	97,715	\$9,000.00
MSA-North Carolina				
M047	Greensboro-Winston-Salem-High Point	ZVM047A	914,232	\$9,000.00
M061	Charlotte-Gastonia	ZVM061A	770,737	\$9,000.00
M061	Charlotte-Gastonia	ZVM061B	770,737	\$9,000.00
M071	Raleigh-Durham	ZVM071A	699,066	\$9,000.00
M183	Asheville	ZVM183A	191,774	\$9,000.00
M280	Burlington	ZVM280A	108,213	\$9,000.00
MSA-New Hampshire				
M133	Manchester-Nashua	ZVM133A	336,073	\$9,000.00
M156	Portsmouth-Dover-Rochester	ZVM156B	268,820	\$9,000.00
MSA-New Jersey				
M062	New Brunswick-Perth Amboy-Sayreville	ZVM062B	671,780	\$9,000.00
M134	Atlantic City	ZVM134B	319,416	\$9,000.00
M228	Vineland-Millville-Bridgeton	ZVM228A	138,053	\$9,000.00
MSA-Nevada				
M093	Las Vegas	ZVM093A	741,459	\$9,000.00
M093	Las Vegas	ZVM093B	741,459	\$9,000.00
M171	Reno	ZVM171A	254,667	\$9,000.00

SUMMARY OF DEFAULTED IVDS MSA LICENSES TO BE AUCTIONED

Market No.	Metropolitan Statistical Area	License No.	Population	Upfront Payment
MSA-New York				
M044	Albany-Schenectady-Troy	ZVM044A	829,565	\$9,000.00
M115	Utica-Rome	ZVM115A	316,633	\$9,000.00
M122	Binghamton	ZVM122A	304,877	\$9,000.00
M144	Orange County	ZVM144A	307,647	\$9,000.00
MSA-Ohio				
M031	Columbus	ZVM031A	1,217,150	\$9,000.00
M040	Dayton	ZVM040A	843,835	\$9,000.00
M052	Akron	ZVM052B	657,575	\$9,000.00
M087	Canton	ZVM087B	394,106	\$9,000.00
M180	Springfield	ZVM180A	183,567	\$9,000.00
MSA-Oklahoma				
M045	Oklahoma City	ZVM045A	929,828	\$9,000.00
M045	Oklahoma City	ZVM045B	929,828	\$9,000.00
M057	Tulsa	ZVM057A	742,320	\$9,000.00
MSA-Oregon				
M030	Portland	ZVM030A	1,457,344	\$9,000.00
MSA-Pennsylvania				
M013	Pittsburgh	ZVM013A	2,097,447	\$9,000.00
M013	Pittsburgh	ZVM013B	2,097,447	\$9,000.00
M056	Northeast Pennsylvania	ZVM056A	642,897	\$9,000.00
M084	Harrisburg	ZVM084A	474,242	\$9,000.00
M118	Reading	ZVM118A	336,523	\$9,000.00
MSA-South Carolina				
M227	Anderson	ZVM227A	145,196	\$9,000.00
MSA-South Dakota				
M289	Rapid City	ZVM289A	103,221	\$9,000.00
MSA-Tennessee				
M036	Memphis	ZVM036A	981,747	\$9,000.00
M046	Nashville-Davidson	ZVM046A	985,026	\$9,000.00
M046	Nashville-Davidson	ZVM046B	985,026	\$9,000.00
MSA-Texas				
M033	San Antonio	ZVM033A	1,302,099	\$9,000.00
M292	Sherman-Denison	ZVM292A	95,021	\$9,000.00
MSA-Utah				
M039	Salt Lake City-Ogden	ZVM039A	1,098,828	\$9,000.00
M039	Salt Lake City-Ogden	ZVM039B	1,098,828	\$9,000.00
M159	Provo-Orem	ZVM159A	263,590	\$9,000.00
M159	Provo-Orem	ZVM159B	263,590	\$9,000.00
MSA-Virginia				
M043	Norfolk-Virginia Beach-Portsmouth	ZVM043A	976,058	\$9,000.00
M059	Richmond	ZVM059A	739,735	\$9,000.00
M104	Newport News-Hampton	ZVM104A	433,785	\$9,000.00
M104	Newport News-Hampton	ZVM104B	433,785	\$9,000.00
M157	Roanoke	ZVM157A	228,849	\$9,000.00
M235	Petersburg-Colonial Heights-Hopewell	ZVM235A	125,905	\$9,000.00
M256	Charlottesville	ZVM256B	131,107	\$9,000.00
MSA-Washington				
M020	Seattle-Everett	ZVM020A	1,972,961	\$9,000.00
MSA-Wisconsin				
M021	Milwaukee	ZVM021A	1,432,149	\$9,000.00
M244	Kenosha	ZVM244A	128,181	\$9,000.00

SUMMARY OF DEFAULTED IVDS MSA LICENSES TO BE AUCTIONED

Market No.	Metropolitan Statistical Area	License No.	Population	Upfront Payment
	MSA-Puerto Rico			
M091	San Juan-Caguas	ZVM091A	1,894,438	\$9,000.00
M091	San Juan-Caguas	ZVM091B	1,894,438	\$9,000.00
M147	Ponce	ZVM147B	256,818	\$9,000.00
M202	Arecibo	ZVM202B	174,880	\$9,000.00
	Totals		95,431,637	\$1,125,000.00
				rev121796

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
	RSA-Alabama					
R307		1	Franklin	ZVR307A/B	318,294	\$2,500.00
R308		2	Jackson	ZVR308A/B	121,990	\$2,500.00
R309		3	Lamar	ZVR309A/B	135,303	\$2,500.00
R310		4	Bibb	ZVR310A/B	136,149	\$2,500.00
R311		5	Cleburne	ZVR311A/B	206,735	\$2,500.00
R312		6	Washington	ZVR312A/B	117,474	\$2,500.00
R313		7	Butler	ZVR313A/B	163,487	\$2,500.00
R314		8	Lee	ZVR314A/B	163,907	\$2,500.00
	RSA-Alaska					
R315		1	Wade Hampton	ZVR315A/B	117,500	\$2,500.00
R316		2	Bethel	ZVR316A/B	134,766	\$2,500.00
R317		3	Haines	ZVR317A/B	68,989	\$2,500.00
	RSA-Arizona					
R318		1	Mohave	ZVR318A/B	93,947	\$2,500.00
R319		2	Coconino	ZVR319A/B	204,305	\$2,500.00
R320		3	Navajo	ZVR320A/B	139,249	\$2,500.00
R321		4	Yuma	ZVR321A/B	120,739	\$2,500.00
R322		5	Gila	ZVR322A/B	156,595	\$2,500.00
R323		6	Graham	ZVR323A/B	161,862	\$2,500.00
	RSA-Arkansas					
R324		1	Madison	ZVR324A/B	66,235	\$2,500.00
R325		2	Marion	ZVR325A/B	82,204	\$2,500.00
R326		3	Sharp	ZVR326A/B	98,260	\$2,500.00
R327		4	Clay	ZVR327A/B	201,056	\$2,500.00
R328		5	Cross	ZVR328A/B	122,599	\$2,500.00
R329		6	Cleburne	ZVR329A/B	93,125	\$2,500.00
R330		7	Pope	ZVR330A/B	104,770	\$2,500.00
R331		8	Franklin	ZVR331A/B	63,880	\$2,500.00
R332		9	Polk	ZVR332A/B	62,480	\$2,500.00
R333		10	Garland	ZVR333A/B	144,511	\$2,500.00
R334		11	Hempstead	ZVR334A/B	67,056	\$2,500.00
R335		12	Ouachita	ZVR335A/B	190,582	\$2,500.00
	RSA-California					
R336		1	Del Norte	ZVR336A/B	199,172	\$2,500.00
R337		2	Modoc	ZVR337A/B	57,015	\$2,500.00
R338		3	Alpine	ZVR338A/B	125,908	\$2,500.00
R339		4	Madera	ZVR339A/B	303,190	\$2,500.00
R340		5	San Luis Obispo	ZVR340A/B	217,162	\$2,500.00
R341		6	Mono	ZVR341A/B	28,237	\$2,500.00
R342		7	Imperial	ZVR342A/B	109,303	\$2,500.00
	RSA-California					
R343		8	Tehama	ZVR343A/B	90,698	\$2,500.00
R344		9	Mendocino	ZVR344A/B	130,976	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R345		10	Sierra	ZVR345A/B	81,828	\$2,500.00
R346		11	El Dorado	ZVR346A/B	125,995	\$2,500.00
R347		12	Kings	ZVR347A/B	101,469	\$2,500.00
	RSA-Colorado					
R348		1	Moffat	ZVR348A/B	40,988	\$2,500.00
R349		2	Logan	ZVR349A/B	60,151	\$2,500.00
R350		3	Garfield	ZVR350A/B	233,884	\$2,500.00
R351		4	Park	ZVR351A/B	60,064	\$2,500.00
R352		5	Elbert	ZVR352A/B	23,712	\$2,500.00
R353		6	San Miguel	ZVR353A/B	59,620	\$2,500.00
R354		7	Saguache	ZVR354A/B	42,362	\$2,500.00
R355		8	Kiowa	ZVR355A/B	44,214	\$2,500.00
R356		9	Costilla	ZVR356A/B	27,520	\$2,500.00
	RSA-Connecticut					
R357		1	Litchfield	ZVR357A/B	174,092	\$2,500.00
R358		2	Windham	ZVR358A/B	102,525	\$2,500.00
	RSA-Delaware					
R359		1	Kent	ZVR359A/B	224,222	\$2,500.00
	RSA-Florida					
R360		1	Collier	ZVR360A/B	177,872	\$2,500.00
R361		2	Glades	ZVR361A/B	195,858	\$2,500.00
R362		3	Hardee	ZVR362A/B	154,339	\$2,500.00
R363		4	Citrus	ZVR363A/B	378,311	\$2,500.00
R364		5	Putnam	ZVR364A/B	93,771	\$2,500.00
R365		6	Dixie	ZVR365A/B	46,175	\$2,500.00
R366		7	Hamilton	ZVR366A/B	90,575	\$2,500.00
R367		8	Jefferson	ZVR367A/B	50,554	\$2,500.00
R368		9	Calhoun	ZVR368A/B	37,051	\$2,500.00
R369		10	Walton	ZVR369A/B	101,832	\$2,500.00
R370		11	Monroe	ZVR370A/B	78,024	\$2,500.00
	RSA-Georgia					
R371		1	Whitfield	ZVR371A/B	196,220	\$2,500.00
R372		2	Dawson	ZVR372A/B	251,641	\$2,500.00
R373		3	Chattooga	ZVR373A/B	193,219	\$2,500.00
R374		4	Jasper	ZVR374A/B	115,644	\$2,500.00
R375		5	Haralson	ZVR375A/B	211,405	\$2,500.00
R376		6	Spalding	ZVR376A/B	184,488	\$2,500.00
R377		7	Hancock	ZVR377A/B	126,095	\$2,500.00
	RSA-Georgia					
R378		8	Warren	ZVR378A/B	145,920	\$2,500.00
R379		9	Marion	ZVR379A/B	114,598	\$2,500.00
R380		10	Bleckley	ZVR380A/B	141,440	\$2,500.00
R381		11	Toombs	ZVR381A/B	144,200	\$2,500.00
R382		12	Liberty	ZVR382A/B	182,600	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R383		13	Early	ZVR383A/B	140,823	\$2,500.00
R384		14	Worth	ZVR384A/B	220,614	\$2,500.00
	RSA-Hawaii					
R385		1	Kauai	ZVR385A/B	51,177	\$2,500.00
R386		2	Maui	ZVR386A/B	100,504	\$2,500.00
R387		3	Hawaii	ZVR387A/B	120,317	\$2,500.00
	RSA-Idaho					
R388		1	Boundary	ZVR388A/B	203,009	\$2,500.00
R389		2	Idaho	ZVR389A/B	59,974	\$2,500.00
R390		3	Lemhi	ZVR390A/B	14,541	\$2,500.00
R391		4	Elmore	ZVR391A/B	119,673	\$2,500.00
R392		5	Butte	ZVR392A/B	139,749	\$2,500.00
R393		6	Clark	ZVR393A/B	264,028	\$2,500.00
	RSA-Illinois					
R394		1	Jo Daviess	ZVR394A/B	305,145	\$2,500.00
R395		2	Bureau	ZVR395A/B	252,074	\$2,500.00
R396		3	Mercer	ZVR396A/B	203,155	\$2,500.00
R397		4	Adams	ZVR397A/B	203,299	\$2,500.00
R398		5	Mason	ZVR398A/B	93,061	\$2,500.00
R399		6	Montgomery	ZVR399A/B	196,556	\$2,500.00
R400		7	Vermilion	ZVR400A/B	235,624	\$2,500.00
R401		8	Washington	ZVR401A/B	328,966	\$2,500.00
R402		9	Clay	ZVR402A/B	152,812	\$2,500.00
	RSA-Indiana					
R403		1	Newton	ZVR403A/B	204,232	\$2,500.00
R404		2	Kosciusko	ZVR404A/B	160,094	\$2,500.00
R405		3	Huntington	ZVR405A/B	145,175	\$2,500.00
R406		4	Miami	ZVR406A/B	179,002	\$2,500.00
R407		5	Warren	ZVR407A/B	115,586	\$2,500.00
R408		6	Randolph	ZVR408A/B	217,938	\$2,500.00
R409		7	Owen	ZVR409A/B	213,199	\$2,500.00
R410		8	Brown	ZVR410A/B	240,233	\$2,500.00
R411		9	Decatur	ZVR411A/B	135,763	\$2,500.00
	RSA-Iowa					
R412		1	Mills	ZVR412A/B	62,354	\$2,500.00
R413		2	Union	ZVR413A/B	50,932	\$2,500.00
R414		3	Monroe	ZVR414A/B	98,842	\$2,500.00
R415		4	Muscatine	ZVR415A/B	152,026	\$2,500.00
R416		5	Jackson	ZVR416A/B	107,815	\$2,500.00
R417		6	Iowa	ZVR417A/B	151,217	\$2,500.00
R418		7	Audubon	ZVR418A/B	54,289	\$2,500.00
R419		8	Monona	ZVR419A/B	54,769	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R420		9	Ida	ZVR420A/B	63,665	\$2,500.00
R421		10	Humboldt	ZVR421A/B	180,876	\$2,500.00
R422		11	Hardin	ZVR422A/B	109,247	\$2,500.00
R423		12	Winneshiek	ZVR423A/B	114,478	\$2,500.00
R424		13	Mitchell	ZVR424A/B	66,821	\$2,500.00
R425		14	Kossuth	ZVR425A/B	109,439	\$2,500.00
R426		15	Dickinson	ZVR426A/B	84,222	\$2,500.00
R427		16	Lyon	ZVR427A/B	102,052	\$2,500.00
	RSA-Kansas					
R428		1	Cheyenne	ZVR428A/B	28,895	\$2,500.00
R429		2	Norton	ZVR429A/B	32,064	\$2,500.00
R430		3	Jewell	ZVR430A/B	54,477	\$2,500.00
R431		4	Marshall	ZVR431A/B	135,871	\$2,500.00
R432		5	Brown	ZVR432A/B	112,090	\$2,500.00
R433		6	Wallace	ZVR433A/B	20,329	\$2,500.00
R434		7	Trego	ZVR434A/B	82,345	\$2,500.00
R435		8	Ellsworth	ZVR435A/B	125,611	\$2,500.00
R436		9	Morris	ZVR436A/B	58,401	\$2,500.00
R437		10	Franklin	ZVR437A/B	103,641	\$2,500.00
R438		11	Hamilton	ZVR438A/B	80,134	\$2,500.00
R439		12	Hodgeman	ZVR439A/B	41,701	\$2,500.00
R440		13	Edwards	ZVR440A/B	30,701	\$2,500.00
R441		14	Reno	ZVR441A/B	171,589	\$2,500.00
R442		15	Elk	ZVR442A/B	154,509	\$2,500.00
	RSA-Kentucky					
R443		1	Fulton	ZVR443A/B	181,346	\$2,500.00
R444		2	Union	ZVR444A/B	125,113	\$2,500.00
R445		3	Meade	ZVR445A/B	294,851	\$2,500.00
R446		4	Spencer	ZVR446A/B	229,606	\$2,500.00
R447		5	Barren	ZVR447A/B	148,321	\$2,500.00
R448		6	Madison	ZVR448A/B	236,714	\$2,500.00
R449		7	Trimble	ZVR449A/B	155,259	\$2,500.00
R450		8	Mason	ZVR450A/B	113,300	\$2,500.00
R451		9	Elliott	ZVR451A/B	197,121	\$2,500.00
	RSA-Kentucky					
R452		10	Powell	ZVR452A/B	148,108	\$2,500.00
R453		11	Clay	ZVR453A/B	166,470	\$2,500.00
	RSA-Louisiana					
R454		1	Claiborne	ZVR454A/B	111,524	\$2,500.00
R455		2	Morehouse	ZVR455A/B	116,322	\$2,500.00
R456		3	De Soto	ZVR456A/B	156,029	\$2,500.00
R457		4	Caldwell	ZVR457A/B	71,634	\$2,500.00
R458		5	Beauregard	ZVR458A/B	372,532	\$2,500.00
R459		6	Iberville	ZVR459A/B	180,185	\$2,500.00
R460		7	West Feliciana	ZVR460A/B	170,894	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R461		8	St. James	ZVR461A/B	103,312	\$2,500.00
R462		9	Plaquemines	ZVR462A/B	25,575	\$2,500.00
	RSA-Maine					
R463		1	Oxford	ZVR463A/B	81,610	\$2,500.00
R464		2	Somerset	ZVR464A/B	155,356	\$2,500.00
R465		3	Kennebec	ZVR465A/B	215,589	\$2,500.00
R466		4	Washington	ZVR466A/B	82,256	\$2,500.00
	RSA-Maryland					
R467		1	Garrett	ZVR467A/B	28,138	\$2,500.00
R468		2	Kent	ZVR468A/B	399,768	\$2,500.00
R469		3	Frederick	ZVR469A/B	150,208	\$2,500.00
	RSA-Massachusetts					
R470		1	Franklin	ZVR470A/B	70,092	\$2,500.00
R471		2	Barnstable	ZVR471A/B	204,256	\$2,500.00
	RSA-Michigan					
R472		1	Gogebic	ZVR472A/B	207,820	\$2,500.00
R473		2	Alger	ZVR473A/B	106,095	\$2,500.00
R474		3	Emmet	ZVR474A/B	142,463	\$2,500.00
R475		4	Cheboygan	ZVR475A/B	122,886	\$2,500.00
R476		5	Manistee	ZVR476A/B	142,765	\$2,500.00
R477		6	Roscommon	ZVR477A/B	130,445	\$2,500.00
R478		7	Newaygo	ZVR478A/B	222,175	\$2,500.00
R479		8	Allegan	ZVR479A/B	90,509	\$2,500.00
R480		9	Cass	ZVR480A/B	284,799	\$2,500.00
R481		10	Tuscola	ZVR481A/B	130,377	\$2,500.00
	RSA-Minnesota					
R482		1	Kittson	ZVR482A/B	49,617	\$2,500.00
R483		2	Lake Of The Woods	ZVR483A/B	59,788	\$2,500.00
R484		3	Koochiching	ZVR484A/B	57,162	\$2,500.00
	RSA-Minnesota					
R485		4	Lake	ZVR485A/B	14,283	\$2,500.00
R486		5	Wilkin	ZVR486A/B	200,399	\$2,500.00
R487		6	Hubbard	ZVR487A/B	230,924	\$2,500.00
R488		7	Chippewa	ZVR488A/B	164,980	\$2,500.00
R489		8	Lac qui Parle	ZVR489A/B	69,541	\$2,500.00
R490		9	Pipestone	ZVR490A/B	136,006	\$2,500.00
R491		10	Le Sueur	ZVR491A/B	225,271	\$2,500.00
R492		11	Goodhue	ZVR492A/B	200,652	\$2,500.00
	RSA-Mississippi					
R493		1	Tunica	ZVR493A/B	163,934	\$2,500.00
R494		2	Benton	ZVR494A/B	230,172	\$2,500.00
R495		3	Bolivar	ZVR495A/B	158,134	\$2,500.00
R496		4	Yalobusha	ZVR496A/B	124,283	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R497		5	Washington	ZVR497A/B	162,430	\$2,500.00
R498		6	Montgomery	ZVR498A/B	179,882	\$2,500.00
R499		7	Leake	ZVR499A/B	173,575	\$2,500.00
R500		8	Claiborne	ZVR500A/B	153,922	\$2,500.00
R501		9	Copiah	ZVR501A/B	117,950	\$2,500.00
R502		10	Smith	ZVR502A/B	147,300	\$2,500.00
R503		11	Lamar	ZVR503A/B	175,210	\$2,500.00
	RSA-Missouri					
R504		1	Atchison	ZVR504A/B	44,488	\$2,500.00
R505		2	Harrison	ZVR505A/B	34,133	\$2,500.00
R506		3	Schuyler	ZVR506A/B	55,897	\$2,500.00
R507		4	De Kalb	ZVR507A/B	68,147	\$2,500.00
R508		5	Linn	ZVR508A/B	69,744	\$2,500.00
R509		6	Marion	ZVR509A/B	84,830	\$2,500.00
R510		7	Saline	ZVR510A/B	157,047	\$2,500.00
R511		8	Callaway	ZVR511A/B	92,590	\$2,500.00
R512		9	Bates	ZVR512A/B	74,660	\$2,500.00
R513		10	Benton	ZVR513A/B	83,161	\$2,500.00
R514		11	Moniteau	ZVR514A/B	138,175	\$2,500.00
R515		12	Maries	ZVR515A/B	117,406	\$2,500.00
R516		13	Washington	ZVR516A/B	85,321	\$2,500.00
R517		14	Barton	ZVR517A/B	93,482	\$2,500.00
R518		15	Stone	ZVR518A/B	96,560	\$2,500.00
R519		16	Laclede	ZVR519A/B	89,145	\$2,500.00
R520		17	Shannon	ZVR520A/B	52,288	\$2,500.00
R521		18	Perry	ZVR521A/B	111,570	\$2,500.00
R522		19	Stoddard	ZVR522A/B	197,439	\$2,500.00
	RSA-Montana					
R523		1	Lincoln	ZVR523A/B	131,234	\$2,500.00
R524		2	Toole	ZVR524A/B	37,175	\$2,500.00
R525		3	Phillips	ZVR525A/B	14,991	\$2,500.00
R526		4	Daniels	ZVR526A/B	41,685	\$2,500.00
R527		5	Mineral	ZVR527A/B	163,675	\$2,500.00
R528		6	Deer Lodge	ZVR528A/B	61,823	\$2,500.00
R529		7	Fergus	ZVR529A/B	27,310	\$2,500.00
R530		8	Beaverhead	ZVR530A/B	79,438	\$2,500.00
R531		9	Carbon	ZVR531A/B	30,796	\$2,500.00
R532		10	Prairie	ZVR532A/B	19,776	\$2,500.00
	RSA-Nebraska					
R533		1	Sioux	ZVR533A/B	91,049	\$2,500.00
R534		2	Cherry	ZVR534A/B	31,535	\$2,500.00
R535		3	Knox	ZVR535A/B	114,784	\$2,500.00
R536		4	Grant	ZVR536A/B	35,875	\$2,500.00
R537		5	Boone	ZVR537A/B	141,611	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R538		6	Keith	ZVR538A/B	101,846	\$2,500.00
R539		7	Hall	ZVR539A/B	87,665	\$2,500.00
R540		8	Chase	ZVR540A/B	58,314	\$2,500.00
R541		9	Adams	ZVR541A/B	82,025	\$2,500.00
R542		10	Cass	ZVR542A/B	84,271	\$2,500.00
	RSA-Nevada					
R543		1	Humboldt	ZVR543A/B	35,118	\$2,500.00
R544		2	Lander	ZVR544A/B	41,343	\$2,500.00
R545		3	Storey	ZVR545A/B	90,607	\$2,500.00
R546		4	Mineral	ZVR546A/B	25,600	\$2,500.00
R547		5	White Pine	ZVR547A/B	13,039	\$2,500.00
	RSA-New Hampshire					
R548		1	Coos	ZVR548A/B	218,470	\$2,500.00
R549		2	Carroll	ZVR549A/B	204,631	\$2,500.00
	RSA-New Jersey					
R550		1	Hunterdon	ZVR550A/B	107,776	\$2,500.00
R551		2	Ocean	ZVR551A/B	433,203	\$2,500.00
R552		3	Sussex	ZVR552A/B	130,943	\$2,500.00
	RSA-New Mexico					
R553		1	San Juan	ZVR553A/B	233,568	\$2,500.00
R554		2	Colfax	ZVR554A/B	22,300	\$2,500.00
R555		3	Catron	ZVR555A/B	72,474	\$2,500.00
R556		4	Santa Fe	ZVR556A/B	229,211	\$2,500.00
	RSA-New Mexico					
R557		5	Grant	ZVR557A/B	51,744	\$2,500.00
R558		6	Lincoln	ZVR558A/B	226,366	\$2,500.00
	RSA-New York					
R559		1	Jefferson	ZVR559A/B	249,713	\$2,500.00
R560		2	Franklin	ZVR560A/B	229,131	\$2,500.00
R561		3	Chautauqua	ZVR561A/B	478,254	\$2,500.00
R562		4	Yates	ZVR562A/B	352,296	\$2,500.00
R563		5	Otsego	ZVR563A/B	374,182	\$2,500.00
R564		6	Columbia	ZVR564A/B	107,721	\$2,500.00
	RSA-North Carolina					
R565		1	Cherokee	ZVR565A/B	168,596	\$2,500.00
R566		2	Yancey	ZVR566A/B	152,380	\$2,500.00
R567		3	Ashe	ZVR567A/B	152,896	\$2,500.00
R568		4	Henderson	ZVR568A/B	311,333	\$2,500.00
R569		5	Anson	ZVR569A/B	125,092	\$2,500.00
R570		6	Chatham	ZVR570A/B	139,146	\$2,500.00
R571		7	Rockingham	ZVR571A/B	267,853	\$2,500.00
R572		8	Northampton	ZVR572A/B	275,610	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R573		9	Camden	ZVR573A/B	113,371	\$2,500.00
R574		10	Harnett	ZVR574A/B	253,794	\$2,500.00
R575		11	Hoke	ZVR575A/B	206,285	\$2,500.00
R576		12	Sampson	ZVR576A/B	116,147	\$2,500.00
R577		13	Greene	ZVR577A/B	227,613	\$2,500.00
R578		14	Pitt	ZVR578A/B	221,295	\$2,500.00
R579		15	Cabarrus	ZVR579A/B	382,095	\$2,500.00
	RSA-North Dakota					
R580		1	Divide	ZVR580A/B	105,589	\$2,500.00
R581		2	Bottineau	ZVR581A/B	61,933	\$2,500.00
R582		3	Barnes	ZVR582A/B	94,616	\$2,500.00
R583		4	McKenzie	ZVR583A/B	67,057	\$2,500.00
R584		5	Kidder	ZVR584A/B	52,217	\$2,500.00
	RSA-Ohio					
R585		1	Williams	ZVR585A/B	125,902	\$2,500.00
R586		2	Sandusky	ZVR586A/B	254,715	\$2,500.00
R587		3	Ashtabula	ZVR587A/B	99,821	\$2,500.00
R588		4	Mercer	ZVR588A/B	212,256	\$2,500.00
R589		5	Hancock	ZVR589A/B	231,045	\$2,500.00
R590		6	Morrow	ZVR590A/B	420,766	\$2,500.00
R591		7	Tuscarawas	ZVR591A/B	248,100	\$2,500.00
R592		8	Clinton	ZVR592A/B	158,946	\$2,500.00
R593		9	Ross	ZVR593A/B	235,090	\$2,500.00
	RSA-Ohio					
R594		10	Perry	ZVR594A/B	164,918	\$2,500.00
R595		11	Columbiana	ZVR595A/B	108,276	\$2,500.00
	RSA-Oklahoma					
R596		1	Cimarron	ZVR596A/B	25,743	\$2,500.00
R597		2	Harper	ZVR597A/B	51,110	\$2,500.00
R598		3	Grant	ZVR598A/B	200,099	\$2,500.00
R599		4	Nowata	ZVR599A/B	183,263	\$2,500.00
R600		5	Roger Mills	ZVR600A/B	61,277	\$2,500.00
R601		6	Seminole	ZVR601A/B	211,914	\$2,500.00
R602		7	Beckham	ZVR602A/B	123,249	\$2,500.00
R603		8	Jackson	ZVR603A/B	95,108	\$2,500.00
R604		9	Garvin	ZVR604A/B	195,350	\$2,500.00
R605		10	Haskell	ZVR605A/B	81,005	\$2,500.00
	RSA-Oregon					
R606		1	Clatsop	ZVR606A/B	157,979	\$2,500.00
R607		2	Hood River	ZVR607A/B	64,918	\$2,500.00
R608		3	Umatilla	ZVR608A/B	138,966	\$2,500.00
R609		4	Lincoln	ZVR609A/B	200,927	\$2,500.00
R610		5	Coos	ZVR610A/B	236,898	\$2,500.00
R611		6	Crook	ZVR611A/B	161,017	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
	RSA-Pennsylvania					
R612		1	Crawford	ZVR612A/B	195,402	\$2,500.00
R613		2	McKean	ZVR613A/B	87,922	\$2,500.00
R614		3	Potter	ZVR614A/B	95,025	\$2,500.00
R615		4	Bradford	ZVR615A/B	95,147	\$2,500.00
R616		5	Wayne	ZVR616A/B	67,910	\$2,500.00
R617		6	Lawrence	ZVR617A/B	363,436	\$2,500.00
R618		7	Jefferson	ZVR618A/B	214,174	\$2,500.00
R619		8	Union	ZVR619A/B	403,149	\$2,500.00
R620		9	Greene	ZVR620A/B	184,901	\$2,500.00
R621		10	Bedford	ZVR621A/B	182,838	\$2,500.00
R622		11	Huntingdon	ZVR622A/B	110,986	\$2,500.00
R623		12	Lebanon	ZVR623A/B	113,744	\$2,500.00
	RSA-Rhode Island					
R624		1	Newport	ZVR624A/B	87,194	\$2,500.00
	RSA-South Carolina					
R625		1	Oconee	ZVR625A/B	57,494	\$2,500.00
R626		2	Laurens	ZVR626A/B	218,293	\$2,500.00
R627		3	Cherokee	ZVR627A/B	129,308	\$2,500.00
R628		4	Chesterfield	ZVR628A/B	202,502	\$2,500.00
	RSA-South Carolina					
R629		5	Georgetown	ZVR629A/B	224,254	\$2,500.00
R630		6	Clarendon	ZVR630A/B	186,339	\$2,500.00
R631		7	Calhoun	ZVR631A/B	146,473	\$2,500.00
R632		8	Hampton	ZVR632A/B	154,480	\$2,500.00
R633		9	Lancaster	ZVR633A/B	186,013	\$2,500.00
	RSA-South Dakota					
R634		1	Harding	ZVR634A/B	34,170	\$2,500.00
R635		2	Corson	ZVR635A/B	23,180	\$2,500.00
R636		3	McPherson	ZVR636A/B	53,889	\$2,500.00
R637		4	Marshall	ZVR637A/B	66,705	\$2,500.00
R638		5	Custer	ZVR638A/B	23,434	\$2,500.00
R639		6	Haakon	ZVR639A/B	38,828	\$2,500.00
R640		7	Sully	ZVR640A/B	65,558	\$2,500.00
R641		8	Kingsbury	ZVR641A/B	72,547	\$2,500.00
R642		9	Hanson	ZVR642A/B	90,663	\$2,500.00
	RSA-Tennessee					
R643		1	Lake	ZVR643A/B	291,074	\$2,500.00
R644		2	Cannon	ZVR644A/B	150,599	\$2,500.00
R645		3	Macon	ZVR645A/B	312,163	\$2,500.00
R646		4	Hamblen	ZVR646A/B	236,628	\$2,500.00
R647		5	Fayette	ZVR647A/B	318,396	\$2,500.00
R648		6	Giles	ZVR648A/B	145,294	\$2,500.00
R649		7	Bledsoe	ZVR649A/B	233,580	\$2,500.00
R650		8	Johnson	ZVR650A/B	13,766	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R651		9	Maury	ZVR651A/B	54,812	\$2,500.00
	RSA-Texas					
R652		1	Dallam	ZVR652A/B	51,249	\$2,500.00
R653		2	Hansford	ZVR653A/B	94,265	\$2,500.00
R654		3	Parmer	ZVR654A/B	141,211	\$2,500.00
R655		4	Briscoe	ZVR655A/B	42,500	\$2,500.00
R656		5	Hardeman	ZVR656A/B	78,545	\$2,500.00
R657		6	Jack	ZVR657A/B	80,087	\$2,500.00
R658		7	Fanni	ZVR658A/B	343,449	\$2,500.00
R659		8	Gaines	ZVR659A/B	135,303	\$2,500.00
R660		9	Runnels	ZVR660A/B	175,130	\$2,500.00
R661		10	Navarro	ZVR661A/B	290,035	\$2,500.00
R662		11	Cherokee	ZVR662A/B	271,075	\$2,500.00
R663		12	Hudspeth	ZVR663A/B	23,586	\$2,500.00
R664		13	Reeves	ZVR664A/B	31,937	\$2,500.00
R665		14	Loving	ZVR665A/B	48,293	\$2,500.00
R666		15	Concho	ZVR666A/B	148,918	\$2,500.00
R667		16	Burleson	ZVR667A/B	301,415	\$2,500.00
	RSA-Texas					
R668		17	Newton	ZVR668A/B	221,872	\$2,500.00
R669		18	Edwards	ZVR669A/B	185,431	\$2,500.00
R670		19	Atascosa	ZVR670A/B	203,052	\$2,500.00
R671		20	Wilson	ZVR671A/B	129,981	\$2,500.00
R672		21	Chambers	ZVR672A/B	20,088	\$2,500.00
	RSA-Utah					
R673		1	Box Elder	ZVR673A/B	108,393	\$2,500.00
R674		2	Morgan	ZVR674A/B	31,135	\$2,500.00
R675		3	Juab	ZVR675A/B	48,840	\$2,500.00
R676		4	Beaver	ZVR676A/B	74,114	\$2,500.00
R677		5	Carbon	ZVR677A/B	72,726	\$2,500.00
R678		6	Piute	ZVR678A/B	25,224	\$2,500.00
	RSA-Vermont					
R679		1	Franklin	ZVR679A/B	199,096	\$2,500.00
R680		2	Addison	ZVR680A/B	226,583	\$2,500.00
	RSA-Virginia					
R681		1	Lee	ZVR681A/B	145,936	\$2,500.00
R682		2	Tazewell	ZVR682A/B	133,258	\$2,500.00
R683		3	Giles	ZVR683A/B	196,787	\$2,500.00
R684		4	Bedford	ZVR684A/B	164,382	\$2,500.00
R685		5	Bath	ZVR685A/B	61,360	\$2,500.00
R686		6	Highland	ZVR686A/B	201,289	\$2,500.00
R687		7	Buckingham	ZVR687A/B	85,736	\$2,500.00
R688		8	Amelia	ZVR688A/B	80,427	\$2,500.00
R689		9	Greensville	ZVR689A/B	81,019	\$2,500.00
R690		10	Frederick	ZVR690A/B	214,602	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.	Population	Upfront Payment
R691		11	Madison	ZVR691A/B	219,152	\$2,500.00
R692		12	Caroline	ZVR692A/B	164,573	\$2,500.00
	RSA-Washington					
R693		1	Clallam	ZVR693A/B	226,395	\$2,500.00
R694		2	Okanogan	ZVR694A/B	111,805	\$2,500.00
R695		3	Ferry	ZVR695A/B	46,158	\$2,500.00
R696		4	Grays Harbor	ZVR696A/B	102,516	\$2,500.00
R697		5	Kittitas	ZVR697A/B	103,950	\$2,500.00
R698		6	Pacific	ZVR698A/B	163,686	\$2,500.00
R699		7	Skamania	ZVR699A/B	24,905	\$2,500.00
R700		8	Whitman	ZVR700A/B	111,091	\$2,500.00
	RSA-West Virginia					
R701		1	Mason	ZVR701A/B	74,121	\$2,500.00
R702		2	Wetzel	ZVR702A/B	78,719	\$2,500.00
	RSA-West Virginia					
R703		3	Monongalia	ZVR703A/B	262,009	\$2,500.00
R704		4	Grant	ZVR704A/B	153,264	\$2,500.00
R705		5	Tucker	ZVR705A/B	127,441	\$2,500.00
R706		6	Lincoln	ZVR706A/B	188,246	\$2,500.00
R707		7	Raleigh	ZVR707A/B	217,070	\$2,500.00
	RSA-Wisconsin					
R708		1	Burnett	ZVR708A/B	102,381	\$2,500.00
R709		2	Bayfield	ZVR709A/B	81,328	\$2,500.00
R710		3	Vilas	ZVR710A/B	128,151	\$2,500.00
R711		4	Marinette	ZVR711A/B	111,821	\$2,500.00
R712		5	Pierce	ZVR712A/B	83,365	\$2,500.00
R713		6	Trempealeau	ZVR713A/B	110,131	\$2,500.00
R714		7	Wood	ZVR714A/B	268,803	\$2,500.00
R715		8	Vernon	ZVR715A/B	221,882	\$2,500.00
R716		9	Columbia	ZVR716A/B	354,513	\$2,500.00
R717		10	Door	ZVR717A/B	124,989	\$2,500.00
	RSA-Wyoming					
R718		1	Park	ZVR718A/B	46,900	\$2,500.00
R719		2	Sheridan	ZVR719A/B	70,889	\$2,500.00
R720		3	Lincoln	ZVR720A/B	136,489	\$2,500.00
R721		5	Niobrara	ZVR721A/B	126,956	\$2,500.00
R722		5	Converse	ZVR722A/B	11,128	\$2,500.00
	RSA-Puerto Rico					
R723		1	Rincon	ZVR723A/B	134,464	\$2,500.00
R724		2	Adjuntas	ZVR724A/B	243,212	\$2,500.00
R725		3	Ciales	ZVR725A/B	101,540	\$2,500.00
R726		4	Aibonito	ZVR726A/B	260,752	\$2,500.00
R727		5	Ceiba	ZVR727A/B	38,882	\$2,500.00
R728		6	Vieques	ZVR728A/B	7,076	\$2,500.00

SUMMARY OF RSA IVDS LICENSES TO BE AUCTIONED

Market No.	State		Lead County	License No.		Population		Upfront Payment
R729		7	Culebra	ZVR729A/B		15,498		\$2,500.00
	RSA-Virgin Islands							
R730		1	St. Thomas	ZVR730A/B		51,670		\$2,500.00
R731		2	St. Croix	ZVR731A/B		50,139		\$2,500.00
	RSA-Pacific Islands							
R732			Guam	ZVR732A/B		133,000		\$2,500.00
R733			American Samoa	ZVR733A/B		47,000		\$2,500.00
R734			Northern Mariana Islands	ZVR734A/B		43,000		\$2,500.00
			Totals			58,849,037		\$1,070,000.00

Electronic Filing of FCC Form 175

The Commission has implemented a remote access system to allow applicants to submit their FCC Form 175 applications electronically. The remote access system for initial filing of the FCC Form 175 applications will generally be available 24 hours per day beginning at approximately the same time as the release of this Public Notice. FCC Form 175 applications that are filed electronically using this remote access system must be submitted and confirmed by **5:30 p.m. EST on January 21, 1997**. Late applications or unconfirmed submissions of electronic data will not be accepted. The electronic filing process consists of an initial filing period and a resubmission period to make minor corrections.

Parties interested in filing FCC Form 175 applications electronically may do so via a (202) area code telephone service with no additional access charge or via the 900 number telephone service at a charge of \$2.30 per minute. The first minute of connection time to the 900 number service will be at no charge.

Similarly, parties interested in reviewing FCC Form 175 applications electronically will do so via the 900 telephone service at a charge of \$2.30 per minute. The first minute of connection time to the 900 number service will be at no charge.

Those applicants who wish to file their FCC Form 175 electronically or review other FCC Form 175 applications on-line will need the following hardware and software:

Hardware Requirements:

- o CPU: Intel 80486 or above
- o RAM: 8 MB (more recommended if you intend to open multiple applications)
- o Hard Disk: 12MB available disk space
- o Modem: v.32bis 14.4kbps Hayes compatible modem
- o Monitor: VGA or above
- o Mouse or other pointing device

To create backup installation disks for the FCC Form 175 Application, you will need the following:

- o 1.44MB 3.5" Floppy Drive
- o Three blank MS-DOS® formatted 1.44MB floppy disks

Software Requirements:

- o FCC Form 175 Application Software (available through the Internet and the FCC Bulletin Board System)
- o Microsoft Windows 3.1 or Microsoft Windows for Workgroups v3.11 in an enhanced mode

Note: The FCC Form 175 Application has not been tested in a Macintosh, OS/2, or Windows95 environment. Therefore, the FCC will not support operating systems other than Microsoft Windows 3.1 or Microsoft Windows for Workgroups v3.11 in an enhanced mode. This includes any other emulated Windows environment. If your Windows is in a networked environment, you should check with your local network administrator for any potential conflicts with the PPP (Point-to-Point Protocol) Dialer that is incorporated into the FCC Form 175 Application. This usually includes any TCP/IP installed network protocol.

The PPP Dialer that is incorporated into the FCC Form 175 Application will establish a point-to-point connection from your PC to the FCC Network. THIS POINT-TO-POINT CONNECTION IS NOT ROUTED THROUGH THE INTERNET.

Applicants who wish to file their FCC applications electronically or who wish to view other applicants' applications must first download the software from either the Internet or the FCC Bulletin Board System. Applicants must download the following compressed files to install the software:
f175v9a.exe, f175v9b.exe, f175v9c.exe

Internet Access:

In order to download the compressed files from the Internet, you will need to have access to the Internet and an ftp client software as follows:

- o **World Wide Web:** <ftp://ftp.fcc.gov>

Once you connect to the FCC ftp server, select the following directory and download the following files:

Directory: /pub/Auctions/IVDS/Auction_13/Programs

File: **f175v9a.exe, f175v9b.exe, f175v9c.exe**

- o **FTP:** The following instructions are for the command line version of ftp.
 1. Connect to the FCC ftp server by typing: `ftp ftp.fcc.gov`
 2. At the user name prompt, type: `anonymous` **[Enter]**

3. At the password prompt, type your Internet e-mail address **[Enter]**
 4. To allow the file to be downloaded type: binary **[Enter]**
 5. Change your current directory to the Programs directory by typing:
cd /pub/Auctions/IVDS/Auction_13/Programs **[Enter]**
 6. Use the get command to download the files from the FCC ftp server by typing:
get f175v9a.exe **[Enter]**
get f175v9b.exe **[Enter]**
get f175v9c.exe **[Enter]**
 7. If you wish to exit, type:
bye **[Enter]**
- o **Gopher:** gopher.ftp.fcc.gov or use any gopher to get to "all the gophers in the world" then 'U.S.' then 'DC' then 'FCC'.

Dial-In Access to the FCC Auction Bulletin Board System (BBS):

The FCC Auction Bulletin Board System provides dial-in access for the FCC Form 175 Application Software. In order to access the FCC Auction BBS, use a communications package that can handle at least xmodem protocol (e.g., pcAnyWhere, Telix, Procomm) to dial in to (202) 682-5851. Use the settings of 8 data bits, no parity and 1 stop bit (8,N,1).

- o **For new users follow steps 1-5, otherwise go to step 6 in the ANSI Protocol Instructions section or the Non-ANSI Protocol Instructions section (whichever is applicable):**
1. Type New and press **[Enter]**. If the word ANSI is blinking, type Y for yes. If the word ANSI is not blinking, type N for No.
 2. Type in your first and last name and press **[Enter]**. This will be your login name.
 3. Type in Y and press **[Enter]** when asked to verify your login name.
 4. Type in what you want your password to be and press **[Enter]**
 5. Retype the password for verification and press **[Enter]**
- o **ANSI Protocol Instructions (once the account is generated):**
6. Type I for IVDS Auction Files and press **[Enter]**

7. Type B for Auction 13 and press **[Enter]**
8. Type P for Programs and press **[Enter]**
9. Type C for Current Library and press **[Enter]**
10. Move the cursor to the file named **f175v9a.exe** and type **[Control]-D** (hold the Ctrl key down and press the D key) for Download and press **[Enter]** (You may need to change the transfer protocol first --- please see note below.)
11. The FCC Auction BBS will begin transferring the file. You may need to give your terminal emulation software a command to receive the file; please consult your terminal emulation software manual for instructions concerning how to do so.
12. Type X to return to the Programs menu. Repeat steps 10 and 11 to download the following files: **f175v9b.exe, f175v9c.exe**
13. Type X to return to the Programs menu, then type X again. Type X to Exit and press **[Enter]** and continue to do so until asked if you want to Exit the BBS. Press Y for Yes when asked to verify that you want to exit.

o **Non-ANSI Protocol Instructions (once the account is generated):**

6. Type I for IVDS Auction Files and press **[Enter]**
7. Type B for Auction 13 and press **[Enter]**
8. Type P for Programs and press **[Enter]**
9. Type C for Current Library and press **[Enter]**
10. Type the letter next to the file named **f175v9a.exe** and press **[Enter]**
11. Type D for Download now and press **[Enter]** (You may need to change the transfer protocol first -- please see the note below.)
12. The FCC Auction BBS will begin transferring the file. You may need to give your terminal emulation software a command to receive the file; please consult your terminal emulation software manual for instructions concerning how to do so.
13. Repeat steps 10 through 12 to download the following files:

f175v9b.exe, f175v9c.exe

14. Type X, then type X to Exit and press **[Enter]** and continue to do so until asked if you want to Exit the BBS. Press Y for Yes when asked to verify that you want to exit.

NOTE: To download files, you will need to match the transfer protocol on your BBS account to the transfer protocol set in your terminal emulation software.

To set the BBS transfer protocol, return to the initial menu and type L for Library and **[Enter]**,

P for Preferences and **[Enter]**, and

P for File Transfer Protocol and **[Enter]**.

Type the letter next to the protocol you desire and press **[Enter]**.

You may now download files.

Extracting the FCC Form 175 Application

The FCC Form 175 Application files are downloaded in a self-extracting, compressed file format.

When you have downloaded **all** of the compressed files for the FCC Form 175 Application, you must extract the FCC Form 175 Application from those files. To extract the software, start File Manager in the Main Program group, open the file folder where you downloaded the files, and double-click on **f175v9a.exe**. A message will appear listing the default directory to which the software will extract. If this directory does not exist, it will be created automatically. Press Unzip to begin extracting the software from the compressed file.

When the extraction is complete, a message will appear listing the number of files that were unzipped. Press OK and repeat the above process for the remaining compressed files (**f175v9b.exe**, **f175v9c.exe**). Be sure to extract to the **same** directory as the first compressed file.

Installing the FCC Form 175 Application

After you extract the software from the compressed files, you must install the FCC Form 175 Application. To install the software, start File Manager, open the file folder to which you extracted the software and double-click on **setup.exe**.

When the setup program begins, a screen will appear listing the default directory to which the software will install. Press the Install button, then press OK to install to the specified directory. If the directory does not exist, the setup program will create it automatically.

When the installation is complete, a message may appear asking you to restart Windows so that the changes made by the installation may take effect. Press Restart to restart Windows, or press Stay Here to prevent the restart. Do not use the FCC Form 175 Application until you restart Windows.

Creating Backup Installation Disks for the FCC Form 175 Application

To create backup installation disks for the FCC Form 175 Application, go to File Manager, open the file folder to which you extracted the software, double-click on **backup.bat**, and follow the instructions on the screen.

Running the FCC Form 175 Application

When the installation process is complete, you will have a new Program Manager group called FCC Form 175 Application v9 with the following icons: Configure PPP, FCC Form 175 Submit, FCC Form 175 Review, Suggestion Box, and Readme.

You must verify/modify the parameters in the Configure PPP program prior to establishing a PPP connection. Please consult the readme.txt file included with the software for information regarding Configure PPP.

Double-click on an icon to start the respective system.

Uninstalling the FCC Form 175 Application

To uninstall the FCC Form 175 Application, start File Manager and delete the directory to which you installed the software, then switch to Program Manager and delete the FCC Form 175 Application v9 icons and group.

If you have installed multiple versions of the FCC Form 175 Application to the same installation directory, delete the directory only when you wish to delete all the versions contained in that directory.

You may delete the files and directory to which you extracted the software after installing the FCC Form 175 Application and (optionally) creating backup installation diskettes.

DETAILED INSTRUCTIONS FOR USING ALL FCC REMOTE ELECTRONIC AUCTION SYSTEM SOFTWARE CAN BE FOUND IN THE README FILE ASSOCIATED WITH THE SOFTWARE AND IN THE CONTEXT-SENSITIVE HELP FUNCTION ASSOCIATED WITH EACH SOFTWARE SYSTEM.

HELP: For technical assistance in installing or using the FCC Form 175 Application, contact the FCC Technical Support Hotline at (202) 414-1250. The FCC Technical Support Hotline will be generally available Monday through Friday, from 9 a.m. to 6 p.m. EST.

GUIDELINES FOR COMPLETING FCC FORM 175 AND EXHIBITS

A. FCC Form 175

Because of the significance of the Form 175 application to the auction, bidders should especially note the following:

Paper form version: Manual filers must use the October 1995 editions of FCC Forms 175 and 175-S. Earlier versions will not be accepted.

Items 2-5: Give a street address (not a Post Office box number) for the applicant, suitable for mail or private parcel delivery. The FCC will send all registration materials and other written communications to the applicant at this address.

Item 6: The Interactive Video and Data Services auction will be the thirteenth auction conducted by the FCC. For "Auction No." in item 6 of FCC Form 175, enter "13".

Item 7: Applicants must create a ten-digit FCC Account Number, which the Commission will use to identify and track applicants:

- A bidder that has a taxpayer identification number (TIN) must create this FCC account number by using its TIN, plus the prefix of "0" (*i.e.*, 0123456789). A TIN is either the Employer Identification Number (EIN) in the case of a business, or the Social Security Account Number (SSAN) in the case of an individual.
- If--and only if--an applicant does not have a taxpayer identification number, the applicant should use its ten-digit area code and telephone number (*i.e.*, 2025551234) on an interim basis. However, the FCC must have a TIN before it will be able to issue a license or refund upfront payments.

Each applicant must include its FCC Account Number when submitting amendments, additional information, or other correspondence or inquiries regarding its application, and must include this same number on each FCC Form 159 (FCC Remittance Advice) accompanying required auction deposits or payments.

Item 8: Applicants must indicate their legal classification. Limited liability companies or joint ventures should check the "Other" box and indicate their classification in the blank.

Items 9 and 10: A box does not need to be checked in Item 9 unless small business status is selected in Item 10. Applicants should be aware that they will be committed to their election choices. (Applicants are also requested to indicate their status as a rural telephone company, minority-owned business or woman-owned business as well, so the FCC can monitor its performance in promoting economic opportunities for these designated entities.) Be advised that this is the sole opportunity applicants have to elect small business status, bidding credit level (if applicable), and payment by the installment payment plan (if eligible) and there is no opportunity to change the election(s) made once the short-form filing deadline passes.

- Small or very small business applicants eligible for bidding credits should check that gross revenues do not exceed the maximum dollar amount specified in the FCC rules governing the auctionable service in Item 9.
- Small or very small business applicants should enter the applicable bidding credit in Item 10: either 10 or 15 percent. Applicants should be aware that this is the sole opportunity that they will have to elect the appropriate bidding credit.
- Small or very small business applicants (if eligible) intending to use the installment payment plan should enter Plan Type "A" in Item 10. Applicants not intending to use the installment payment plan should leave the Plan Type blank.

All applicants should pay particular attention to the provisions of 47 C.F.R. § 95.816(d). In accordance with 47 C.F.R. § 95.816(d)(3), the FCC will conduct random audits to ensure that applicants meet the eligibility requirements.

Item 11: For each license on which they seek bidding eligibility, applicants must identify the market number (for example, R307) in the Market No. column, and the frequency block or blocks in the Frequency Block set of columns. The market number for each RSA or MSA subject to reauction is listed in Attachment A; frequency blocks are A or B. Applicants that wish to bid on both frequency blocks on all markets should check the "ALL" boxes in the Market No. and Frequency Block/Channel No. headings. Applicants that wish to bid on both frequency blocks for each identified market should check the "ALL" box in the Frequency Block/Channel No. column and list the RSA or MSA market(s) desired in the Market No. columns. However, applicants who wish to bid on both frequency blocks for only some of the markets should **not** check the "ALL" box in the Frequency Block/Channel Block No. heading, but instead should list separately the frequency blocks for each market in the Frequency Block/Channel No. columns. Since FCC Form 175 provides space to list only five markets, applicants should use one or more FCC Form(s) 175-S to list any additional markets applied for.

Applicants should identify all licenses they want to be eligible to bid on in the auction in Item 11. Be advised that there is no opportunity to change this list once the short-form filing deadline passes. The FCC auction system will not accept bids on licenses an applicant has not applied for on its FCC Form 175.

Item 12: Applicants must list the name(s) of the person(s) (no more than three) authorized to represent them at the auction. Only those individuals listed on the FCC Form 175 will be authorized to place or withdraw bids for the applicant during the auction.

Certifications: Applicants should carefully read the list of certifications on the FCC Form 175. These certifications help to ensure a fair and competitive auction and require, among other things, disclosure to the Commission of certain information on applicant ownership and agreements or arrangements concerning the auction. Submission of an FCC Form 175 application constitutes a representation by the certifying official that he or she is an authorized representative of the applicant, has read the form's instructions and certifications, and that the contents of the application and its attachments are true and correct. Submission of a false certification to the Commission may result in penalties, including monetary forfeitures, license forfeitures, ineligibility to participate in future auctions, and/or criminal prosecution.

Contact person: If the Commission wishes to communicate with the applicant by telephone or fax, those communications will be directed to the contact person identified on the FCC Form 175. Space is provided for a telephone number, fax number, and e-mail address. All written communications and registration information will be directed to the applicant's contact person at the address specified on the FCC Form 175. Applicants must provide a street address; no P.O. Box addresses may be used.

Signature: Manually filed FCC Form 175s must bear an original signature. Absence of an original signature will result in dismissal of the application and disqualification from participating in the auction. (Applicants filing electronically should type the name of the certifying official in the signature block.)

Microfiche or Diskette Copies Microfiche copies must be submitted with any manually filed FCC Form 175 and 175-S that exceeds five pages in length. For this auction the FCC will accept, in lieu of microfiche copies, a 3.5-inch diskette which contains **ASCII text (.TXT)** files of all exhibit documentation attached to the FCC Form 175. (Applicants that use a word processing program to prepare these files must be sure to save the files in the ASCII format before submitting the diskette, and verify that the ASCII files contain all exhibit information.)

Completeness: Applicants must submit all information required by FCC Form 175 and by applicable rules, including a certifying signature on manual filings. **Failure to submit required information will result in dismissal of the application and inability to participate in the auction.**

Continuing Accuracy: Each applicant is responsible for the continuing accuracy and completeness of information furnished in the FCC Form 175 and its exhibits. See 47 C.F.R. § 1.65. It is the staff's position that ten business days from a reportable change is a reasonable period of time in which applicants must amend their FCC Form 175s. Applicants are reminded that Certification (6) on FCC Form 175 includes consent to be audited. See 47 C.F.R. § 95.816(d)(3).

B. Exhibits and Attachments

In addition to FCC Form 175 itself, applicants must submit additional information required by the FCC's rules. Although we do not require a particular organization or format for this information, we have developed the following guidelines that will facilitate the processing of short-form applications. We encourage applicants filing both electronically and manually to submit this information using the following format.

Exhibit A—Applicant Identity and Ownership Information 47 C.F.R. § 1.2105(a)(2) requires each applicant to fully disclose the real party or parties-in-interest in an exhibit to its FCC Form 175 application. This information should provide the name, citizenship and address of all partners, if the applicant is a partnership; of a responsible officer or director, if the applicant is a corporation; of the trustee, if the applicant is a trust; or, if the applicant is none of the foregoing, list the name, address and citizenship of a principal or other responsible person.

Exhibit B—Agreements with Other Parties/Joint Bidding Arrangements Applicants must attach an exhibit identifying all parties with whom the applicant has entered into partnerships, joint ventures, consortia or other agreements, arrangement or undertakings of any kind, relating to the licenses being auctioned, including any such agreements relating to post-auction market structure. 47 C.F.R. § 1.2105(a)(2)(viii).

Be aware that pursuant to Certification (4) on the FCC Form 175, the applicant certifies that it will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in the application regarding the amount to be bid, bidding strategies or the particular licenses on which the applicant will or will not bid. See 47 C.F.R. § 1.2105(a)(2)(ix). *To prevent collusion, the Commission's rules generally prohibit communications during the course of the auction among applicants for the same license areas when such communications concern bids, bidding strategies, or settlements.* 47 C.F.R. § 1.2105(c).

Exhibit C—Status as a Small or Very Small Business Applicant Applicants claiming status as a small or very small business must attach an exhibit regarding this status.

- Small or very small business applicants must state the average gross revenues for the preceding three years for the applicant (including affiliates), as prescribed by 47 C.F.R. § 95.816(d)(4). Certification that the average gross revenues for the preceding three years do not exceed the required limit is not sufficient.

Exhibit D—Information Requested of Designated Entities Applicants owned by minorities or women as defined in 47 C.F.R. § 1.2110(b), or who are rural telephone companies, may attach an exhibit regarding this status. This information, in conjunction with the information in Item 10, will assist the Commission in monitoring the participation of designated entities in its auctions.

Exhibit E–Miscellaneous Information Applicants wishing to submit additional information should include it in Exhibit E.

Applicants are reminded that all information required in connection with applications to participate in spectrum auctions is necessary to determine the applicants' qualifications, and as such will be available for public inspection. Required proprietary information may be redacted, or confidentiality may be requested, following the procedures set out in 47 C.F.R. § 0.459. Any such requests must be submitted manually, even if the applicant chooses to file electronically, in which case the applicant must indicate in Exhibit E that it has filed a confidentiality request. Because the required information bears on applicants' qualifications, the FCC envisions that confidentiality requests will not be routinely granted.

Waivers: Applicants requesting waiver of any rules must submit a statement of reasons sufficient to justify the waiver sought.

Cross-References: To ensure that the FCC considers all information submitted, manual filers must list the number of Forms 175-S and the number of supplemental exhibits at the end of Item 11 on FCC Form 175.

**Summary Listing of Documents from the Commission
and the Wireless Telecommunications Bureau
Addressing Application of the Anti-Collusion Rules**

To date, discussion concerning the anti-collusion rules may be found in the following Commission and Bureau items:

Commission Decisions

Second Report and Order in PP Docket No. 93-253, FCC 94-61, 9 FCC Rcd 2348 (1994), paragraphs 221-226.

Fifth Report and Order in PP Docket No. 93-253, FCC 94-178, 9 FCC Rcd 5532 (1994), paragraphs 91-92.

Second Memorandum Opinion and Order in PP Docket No. 93-253, FCC 94-215, 9 FCC Rcd 7245 (1994), paragraphs 48-55.

Fourth Memorandum Opinion and Order in PP Docket No. 93-253, FCC 94-264, 9 FCC Rcd 6858 (1994), paragraphs 47-60.

Memorandum Opinion and Order in PP Docket No. 93-253, FCC 94-295, 9 FCC Rcd 7684 (1994), paragraphs 8-12.

Wireless Telecommunications Bureau Decisions

Order in PP Docket No. 93-253 and MM Docket No. 94-131, DA 95-2292, (released November 3, 1995).

Public Notices:

"Wireless Telecommunications Bureau Clarifies Spectrum Auction Anti-Collusion Rules," *Public Notice*, DA 95-2244 (released October 26, 1995).

"Wireless Telecommunications Bureau Provides Guidance on the Anti-Collusion Rule for D, E and F Block Bidders," *Public Notice*, DA 96-1460 (released August 28, 1996).

Letters from the Office of General Counsel and the Wireless Telecommunications Bureau

Letter to Gary M. Epstein and James H. Barker from William E. Kennard, General Counsel, Federal Communications Commission (released October 25, 1994).

Letter to Alan F. Ciamporzero from William E. Kennard, General Counsel, Federal Communications Commission (released October 25, 1996).

Letter to R Michael Senkowski from Rosalind K. Allen, Acting Chief, Commercial Radio Division, Wireless Telecommunications Bureau (released December 1, 1994).

Letter to Leonard J. Kennedy from Rosalind K. Allen, Acting Chief, Commercial Radio Division, Wireless Telecommunications Bureau (released December 14, 1994).

Letter to Jonathan D. Blake and Robert J. Rini from Kathleen O'Brien Ham, Chief, Auctions Division, Wireless Telecommunications Bureau, DA 95-2404 (released November 28, 1995).

Letter to Mark Grady from Kathleen O'Brien Ham, Chief, Auctions Division, Wireless Telecommunications Bureau, DA 96-587 (released April 16, 1996).

Letter to David L. Nace from Kathleen O'Brien Ham, Chief, Auctions Division, Wireless Telecommunications Bureau, DA 96-1566 (released September 17, 1996).